



People Scrutiny Committee

Date: Tuesday, 8 October 2019
Time: 10.00 am
Venue: Committee Room A/B, South Walks House,
South Walks Road, Dorchester, DT1 1EE

Membership: (Quorum 3)

Jane Somper (Chairman), Mary Penfold (Vice-Chairman), Toni Coombs, Stella Jones, Emma Parker, Molly Rennie, Mark Roberts, Maria Roe, Clare Sutton and Gill Taylor

Chief Executive: Matt Prosser, South Walks House, South Walks Road,
Dorchester, Dorset DT1 1UZ (Sat Nav DT1 1EE)

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A G E N D A

Page No.

1 APOLOGIES

To receive any apologies for absence.

2 MINUTES

5 - 12

To confirm the minutes of the meeting held on 10 September 2019.

3 DECLARATIONS OF INTEREST

To receive any declarations of interest.

4 PUBLIC PARTICIPATION

To receive questions or statements on the business of the committee from town and parish councils and members of the public.

5 URGENT ITEMS

To consider any items of business which the Chairman has had prior notification and considers to be urgent pursuant to section 100B (4) b) of the Local Government Act 1972. The reason for the urgency shall be recorded in the minutes.

6 DORSET SAFEGUARDING ADULTS BOARD ANNUAL REPORT 2018/19

13 - 52

To receive the Dorset Safeguarding Adults Board Annual Report 2018/19, to be presented by the Independent Chair of the Board.

7 REPORT OF THE MONITORING OFFICER ON AN INVESTIGATION BY THE LOCAL GOVERNMENT AND SOCIAL CARE OMBUDSMAN

53 - 62

To consider a report of the Monitoring Officer following the publication of findings by the Local Government and Social Care Ombudsman in relation to the Special Education Needs Service and failings by the former Dorset County Council.

Note: this report is to be considered by the Cabinet on 1 October 2019

and the minutes of that meeting will be circulated to the People Scrutiny Committee when available.

8 PEOPLE SCRUTINY COMMITTEE FORWARD PLAN

63 - 80

To review the People Scrutiny Committee Forward Plan.

To review the Cabinet Forward Plan.

9 EXEMPT BUSINESS

To move the exclusion of the press and the public for the following item in view of the likely disclosure of exempt information within the meaning of paragraph x of schedule 12 A to the Local Government Act 1972 (as amended).

The public and the press will be asked to leave the meeting whilst the item of business is considered.

There is no exempt business.

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DORSET COUNCIL - PEOPLE SCRUTINY COMMITTEE

MINUTES OF MEETING HELD ON TUESDAY 10 SEPTEMBER 2019

Present: Cllrs Jane Somper (Chairman), Mary Penfold (Vice-Chairman), Toni Coombs, Stella Jones, Emma Parker, Molly Rennie, Mark Roberts, Maria Roe, Clare Sutton and Gill Taylor

Apologies: None

Also present: Cllr Shane Bartlett, Cllr Graham Carr-Jones, Cllr Beryl Ezzard, Cllr Andrew Kerby, Cllr Laura Miller, Cllr Andrew Parry and Cllr Daryl Turner

Officers present (for all or part of the meeting):

Diana Balsom (Strategic Commissioning Lead), Helen Coombes (Interim Transformation Programme Lead), Adam Fitzgerald (Building Better Lives Programme Manager), Ian Grant (Programme Coordinator), Sarah Parker (Executive Director of People - Children), David Webb (Service Manager - Dorset Combined Youth Offending Service), Kay Wilson-White (Community Safety Business Manager), Lee Ellis (Scrutiny Officer) and Lindsey Watson (Senior Democratic Services Officer)

9. Minutes

The minutes of the meeting held on 2 July 2019 were confirmed as a correct record and signed by the Chairman.

10. Declarations of Interest

Councillor Coombs declared an interest in respect of exempt agenda item 17, 'Building Better Lives – Programme Overview and approach to Wareham Gateway', as Chairman of the Dorset Council Eastern Area Planning Committee. In order to prevent a conflict of interest when the issue was considered at the Eastern Area Planning Committee, she indicated that she would leave this meeting during consideration of the item.

Councillor Roberts declared an interest as a provider of domiciliary care to the Local Authority.

Councillor Penfold declared an interest in respect of exempt agenda item 17, 'Building Better Lives – Programme Overview and approach to Wareham Gateway', as she had previously visited the site with the NHS body. She indicated that she would stay in the room during consideration of the item.

11. Public Participation

There were no representations from town or parish councils or from members of the public.

12. **Urgent items**

There were no urgent items.

MOVING OF AGENDA ITEM

The Chairman indicated that she would be moving agenda item 8, 'People Scrutiny Committee work programme' to the end of the agenda.

13. **Approval of Youth Justice Plan 2019/20**

The committee received and considered a report with regard to the Youth Justice Plan for 2019/20. Councillors were informed that there was a statutory requirement to publish an annual Youth Justice Plan which must provide specified information about the local provision of youth justice services. The report summarised the Youth Justice Plan for 2019/20, with a copy of the Plan appended. It was noted that the Youth Justice Plan needed to be approved by Full Council.

Councillors considered the issues arising from the report and during discussion, the following points were raised:

- A point was raised with regard to the closure of youth clubs, including youth worker arrangements and whether this had an impact in this area. It was noted that some work had been done in this area and although it was hard to pinpoint reasons, it was reasonable to assume that this had an impact
- The Executive Director for People – Children, provided information with regard to the restructure within the Children's Services Directorate and noted that feedback from partners and young people was being taken into account. Part of this was the establishment of an adolescent service including Cabinet approval for a residential hub for children and young people
- A discussion was held about the link with schools and how resources would be deployed and work undertaken with schools moving forward. The focus was on prevention and early intervention. It was noted that there was a need for better links with schools and for there to be a broad and diverse approach
- The link with mental health issues was raised and in response it was noted that the importance of mental health was recognised and that the service had a strong mental health team and in addition, worked closely with the Looked After Children Team. A part-time psychologist provided consultation to the nurses and the whole service and a training programme was in place
- In response to a point raised with regard to the use of restorative justice, an example of work undertaken was provided. Work was being undertaken with the Head of Youth Services for Dorset Police to put forward a proposal

- The potential impact on a persons' life chances if they received a youth caution was noted
- It was suggested that the committee could undertake more in-depth work with regard to looked after children
- Councillors considered the figures for first time entrants to the service during the previous year and the number of custodial sentences received. It was noted that these figures included the area of Bournemouth, Christchurch and Poole Council. The situation nationally was also referred to
- No custodial establishments were available in the South West of England
- A query was raised with regard to a cost of £65,000 set out in the report for performance and information gathering. In response it was confirmed that this represented the cost of the case management system and the salary of a Performance and Information Management Manager. It was noted that the service relied on a good quality of information
- It was noted that a lot of young people in the service had been excluded from school. The service had an education officer whose remit was to ensure that the right education provision was in place. There had been an increase in the number of children being excluded from school
- In addition the service worked with the parents of a number of young people who were electively home educated
- Work was being undertaken with the Police and Crime Commissioner (PCC) with the aim of producing a plan which could be supported by the PCC. A question was raised with regard to the PCC election and it was confirmed that spending commitments could continue beyond the term of office
- There was a need for relevant organisations to have a holistic and shared approach to their response in this area
- A question was raised as to how information was shared between different geographical areas and how it could be ensured that a young person arrested for an offence would be safe once they returned to their local area. In response it was noted that the local Police and Social Care Service would communicate with their respective service in the area the young person was from. However it was noted that there was a lack of a coordinated approach in this area at a national level and this was the subject of current lobbying to the Government. At a local level, useful discussions had been held with the Children's Society to provide support in this area
- A suggestion was made that the Local Government Association Children's Board could be looking at this issue
- Currently there were no Dorset children in custody. In the case where there were, the service had a requirement to visit at least once a month and this would also include a visit from a nurse.

The Chairman suggested that in addition to the recommendation set out in the report, an update on this area should be received in 6 months-time on progress made with the plan.

It was proposed by Councillor Roberts seconded by Councillor Sutton

Recommendation to Cabinet

That the Youth Justice Plan be recommended to Full Council for approval.

Decision

That an update be provided to the People Scrutiny Committee in 6 months-time on progress with the plan.

14. Domestic Violence and Abuse Services in Dorset

The committee received a report that set out the issues around the recommissioning approach for Domestic Violence and Abuse Services In Dorset.

Councillors considered the issues arising from the report and during discussion the following points were made:

- A comment was made that the committee may need additional information in order to fully consider the issues in this area including an explanation of acronyms, a copy of the previous contract and information around the increasing numbers of people presenting to the service
- In order to provide an integrated service in a rural area, a comment was made that there was a need to look at more than just pattern changing services but also include a focus on issues around children in this area including whether the contract could provide for a children's worker and the issue of stalking. The role of and funding provided by external organisations was recognised and it was felt, should be part of the contract. Although the report suggested a like for like service, it was felt that more was required in order to provide support to all that required it
- The Domestic Violence and Abuse service was a demand led service and it had been noted where the highest incidences were. However there was a need to ensure that there was service coverage for the whole area
- A point was raised with regard to spaces available in refuges and it was noted that a provider would be expected to find refuge accommodation elsewhere if there was none available locally. People may also be moved out of the area due to safety issues
- In respect of the impact on children in this area, close working was undertaken with Children's Services. A point was raised that children in these situations were not always identified and that this should be a priority in the new contract

- A discussion was held with regard to the availability of refuge accommodation
- It was important to have a whole system approach in this area, working alongside non statutory agencies who provided support
- Through the procurement process, there was a need to ensure that providers understood the direction of travel and the current issues
- It was noted that the approach set out in the report allowed the council to provide a service. However, there needed to be work undertaken to look at how all partners, including the council, health, police and voluntary organisations, came together and developed the support available. It was recognised that this would take some time to develop
- A question was raised with regard to pilot projects to be undertaken and information was provided on some projects being undertaken
- There was a need to do more work on the referral process and to evidence the success of interventions and measure outcomes beyond crisis intervention
- A provider engagement event had been held and those attending had been happy with the approach, which allowed further work to develop good practice. It was noted that there were already good examples of innovation in Dorset
- Consideration was given to the figures for occurrences of domestic violence amongst different age ranges and those that were accessing the service. One explanation was that there may be greater awareness amongst younger people. Work was undertaken with the service provider to raise awareness of support that was available and this had been added to the equality impact assessment to ensure that the service was inclusive
- The importance of early intervention for young families was recognised
- The Portfolio Holder for Housing thanked the committee for their comments and would discuss these with officers following the meeting.

Councillor Rennie noted that the same level of funding was being made available for this contract as for the previous contract, but noted that the council was seeking a greater level of innovation and exploration of issues. Because of the impact this service could have on peoples lives, she proposed that Cabinet should look at ways to increase funding in the area moving forward into 2020/21.

In response, the Portfolio Holder for Housing noted that the contract should be kept under a watching brief as to whether funding levels were adequate or whether there would be a need for additional funding. He also indicated that an Executive Advisory Panel could be set up to look at issues in this area and allow the opportunity for councillors to feed into this work. It was noted that further discussion would be needed to agree the best way forward for looking at these issues.

Councillor Rennie withdrew her proposal.

The Chairman suggested that in addition to the recommendation set out in the report, additional wording could be included within the recommendation to Cabinet to set out that if based on evidence from officers on the work carried out, with particular regard to the short term and pilot project, it is felt that there could be a benefit from the input of additional resources, this should be considered by Cabinet. It was also suggested that a further report should be provided to the committee in 12 months-time to provide an update.

It was proposed by Councillor Coombs seconded by Councillor Rennie

Recommendation to Cabinet

That the Recommissioning approach for Domestic Violence and Abuse Services in Dorset be approved.

That, if based on evidence from officers on the work that is carried out, with particular regard to the short term and pilot project, it is felt that there could be a benefit from the input of additional resources, this should be considered by Cabinet.

Decision

That a further report is provided to the People Scrutiny Committee in 12 months-time.

15. Exempt Business

It was proposed by Councillor Parker seconded by Councillor Sutton

Decision

That the press and the public be excluded for the following item(s) in view of the likely disclosure of exempt information within the meaning of paragraph 4 of schedule 12 A to the Local Government Act 1972 (as amended).

Councillors Coombs, Jones, Penfold and Sutton left the meeting.

16. Building Better Lives - Programme Overview and approach to Wareham Gateway - Exempt

The Chairman reminded all present that this agenda item was being considered under exempt business and therefore all discussion within the meeting was confidential and could not be discussed outside of the meeting.

In addition to the information contained within the report, the committee was provided with a presentation which provided an overview of the issues and options under consideration. Councillors asked questions with regard to the issues arising from the report.

A number of invited attendees addressed the committee during discussion on the item as follows:

- Councillor Hilary Goodinge attended the meeting on behalf of Wareham Town Council and provided a statement on behalf of the town council
- Councillor Ezzard, ward member for Wareham, provided a statement on behalf of herself and Councillor Holloway
- Councillor Carr-Jones, spoke as Dorset Council Portfolio Holder for Housing
- Diana McLaughlin, from the Dorset CCG and representing GPs in the Wareham Surgery attended to show the organisation's commitment to the programme and approach
- Matthew Metcalfe, attending on behalf of Dorset NHS provided some comments on the development

The committee discussed the issues arising and the options presented in the report.

It was proposed by Councillor Roberts seconded by Councillor Roe that the recommendations set out in the report be supported with the addition of a point to recognise that this was a multi-agency project.

Decision

That the People Scrutiny Committee support the recommendations contained within the exempt report in the agenda for the meeting with the addition of a point to recognise that this was a multi-agency project.

The committee moved back into open business.

17. People Scrutiny Committee Forward Plan

The Scrutiny Officer provided an overview of items on the committee work programme and councillors noted those items expected at the next meeting.

Duration of meeting: 2.00 - 5.15 pm

Chairman

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People Scrutiny Committee

Dorset Safeguarding Adults Board – Annual Report 2018-19

Date of Meeting: 8th October 2019

Portfolio Holder: Cllr L Miller, Adult Social Care and Health

Local Member(s):

Director: Mathew Kendall, Executive Director of People - Adults

Executive Summary:

The Dorset and Bournemouth and Poole Safeguarding Adult Boards agree an annual business plan and run joint sub groups. However, each prepares its own annual report. The annual report of the Dorset Board outlines the key contributions towards effective adult safeguarding made by the 2 Safeguarding Adult Boards and their constituent organisations.

In 2018-19 the Dorset Safeguarding Adults Board, working together with the Bournemouth and Poole Board, has: -

- Strengthened the joint work between safeguarding and community safety partnerships.
- Focussed upon areas for improvement identified in reviews and audits.
- Engaged more widely with providers, service users, carers and the public.
- As well as maintaining important 'business as usual' activities.

There has also been greater outreach to the community via information stalls at the Emergency Services day and other local events.

Dorset responded positively to an independent examination of decision-making in respect of section 42 enquiries and increased their auditing of cases to oversee improvements in practice. As a result of changes introduced, 25% of concerns proceeded to a full enquiry – a figure which is more consistent with Dorset's comparator authorities. Focus has also been given to Making Safeguarding Personal principles which is seeing an improvement in recording of the individuals identified outcomes and whether these have been realised.

The Board continues to consult with providers about the business plan and emerging safeguarding themes. It has also delivered a number of Contracture Awareness workshops across Dorset to increase awareness and prevention.

The Boards have also widened the scope of deaths and serious incidents where they consider if a SAR should be commissioned. In the past year, two deaths of rough sleepers have been evaluated and referrals have been received from the Learning Disabilities Mortality Review programme.

For 2019-2020 the Boards have determined to focus development work on three overarching priorities

- Further alignment of safeguarding and domestic abuse interventions.
- Contributing to effectively tackling exploitation, including county lines and,
- More targeted approaches to preventing neglect and self-neglect

Equalities Impact Assessment:

Women continue to remain almost twice as likely to be the subject of section 42 enquiries in Dorset. There is a significant increase for those over 75 and a further rise for women over the age of 85. However, the Board is also focussed upon risks to other groups within the community, e.g. those with a learning disability and/or individual with care and support needs for whom a significant risk will be domestic abuse.

Budget:

Details of the Board's budget and contributions from partners are shown on page 18.

Risk Assessment:

The Boards maintain a risk register to identify current and potential risks to effective safeguarding and take steps to mitigate them where possible.

Examples of the highest risks in terms of likelihood and impact are as follows:

- Level of change and demand upon senior managers in partner organisations reduces capacity of members to contribute to Board meetings.
- Financial and workforce pressures in the care market continue to create quality and safeguarding concerns.
- Criminal exploitation leads to increased safeguarding risks, particularly among young people during and after transition from Childrens' Services'.

All risks are referenced in the Boards' strategic plan.

Climate implications: N/A

Other Implications:

The report contributes to the setting of Dorset Community Safety Priorities. There is an agreement between the Health and Wellbeing Board and the Safeguarding Adults Board and a commitment made to actively build closer links between the two partnerships following local government reorganisation.

The Dorset Safeguarding Adults Board and Bournemouth, Christchurch and Poole Safeguarding Adults Board are currently under review. An Independent reviewer has been commissioned to undertake this work and an options paper will be completed by mid-October 2019 to enable consideration of future arrangements.

Recommendation:

This report informs the committee about how the DSAB has carried out its responsibilities to prevent abuse and neglect of adults with care and support needs during 2018-19.

Reason for Recommendation:

Appendices: Dorset Safeguarding Adults Board 2018-19

Background Papers:**Officer Contact:**

Name: Barrie Crook, Independent Chair, DSAB

Tel: 077202 60336

Email: barrie.crook@btinternet.com

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“The Board exists to protect adults at risk from abuse, significant harm or neglect. We will achieve this through strategic leadership and collective accountability.”



www.dorsetforyou.com/safeguardingadults

Annual Report 2018/19

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“Safeguarding is Everybody’s Business”

Photo: Barrie Crook, Independent Chair – Dorset Safeguarding Adults Board

In 2018-19 the Safeguarding Adults Board, working together with the Bournemouth and Poole Board, has

- Strengthened the joint work between safeguarding and community safety partnerships.
- Focussed upon areas for improvement identified in reviews and audits.
- Engaged more widely with providers, service users, carers and the public.
- As well as maintaining important ‘business as usual’ activities.

There has been a refresh of the multi-agency procedures, now including a protocol for large scale enquiries and information sharing guidance in the light of GDPR. A specific section in the procedures now sets out the approach to be taken between Safeguarding Adult services and MARAC when an individual in need of care and support is subject to domestic abuse.

There has been greater outreach to the community via information stalls at the Emergency Services day and other local events.

Organisations have responded to an independent examination of decision-making in respect of section 42 enquiries and increased their own auditing of cases to oversee improvements in practice. As a result of changes introduced 25% of concerns proceeded to a full enquiry – a figure more consistent with Dorset’s comparator authorities. This position may be further assisted in the coming year by national development work on this issue.

Internal audits also focus upon Making Safeguarding Personal i.e. how far individuals are asked about the safeguarding outcomes they would wish to see and to what degree these have been realised.

The recorded figures are still at a relatively low level and would therefore benefit from further analysis. However more detailed case studies show that staff are attentive to the need to involve individuals in choices about their care and to assess capacity clearly.

I am pleased to note the continuing impact on levels of risk where enquiries are undertaken. In 94% of cases risk was removed or reduced.

Concern about the low use of advocacy in safeguarding enquiries has been monitored by the quality assurance sub-group via meetings with both the provider and commissioners.

A new 3-year training strategy has been agreed. A training framework has been developed for adoption by statutory agencies which addresses the lessons learned from reviews in terms of risk assessment, risk management and information sharing. Business Managers and training leads of the SABs, Safeguarding Children Boards (LSCB) and the Community Safety Partnerships (CSP) meet regularly to develop a combined approach to embedding shared lessons from reviews.

A number of training sessions have been run across the county on contracture management, following the findings of a Safeguarding Adult Review (SAR) in Dorset in 2017.

Dorset has contributed to an action plan following a Bournemouth and Poole SAR into the murder of a young man with learning disability. Prior to a resumed inquest into his death an independent audit was commissioned to establish current practice in respect of adults with learning disability who are subject to domestic abuse. More detailed work on involving specialist domestic abuse services in such cases is incorporated into the 2019-20 business plan. It is planned to share the learning from this case with the Dorset Learning Disability Partnership Board later this year.

The Boards engaged as usual to consult providers about their new business plan and emerging safeguarding concerns. This was also an opportunity to advise them about the safe use of emollients following the death by fire of a vulnerable resident.

The Boards have also widened the scope of deaths and serious incidents where they consider if a SAR should be commissioned. In the past year, two deaths of rough sleepers have been evaluated and referrals have been received from the Learning Disabilities Mortality Review programme.

Members of the Boards have been briefed on the progress of preparations for Local Government Reorganisation and continued to make line of sight visits to one another's offices and hospitals. The initial transition to the new authorities has been planned thoroughly with good liaison between Dorset, Bournemouth and Poole concerning the transfer of adult social care cases from Christchurch.

It is perhaps inevitable that such major change and continuing resource pressures on member organisations have adversely affected attendance at some sub-group meetings. I am nonetheless grateful for the continuing commitment of members to the activities of the Board.

For 2019-2020 the Boards have determined to focus development work on three overarching priorities

- Further alignment of safeguarding and domestic abuse interventions
- Contributing to effectively tackling exploitation, including county lines and
- More targeted approaches to preventing neglect and self-neglect

Once again, I express my gratitude to the Board's Business Manager and Administrator and Chairs of sub groups whose diligence and enthusiasm underpin all that the Board has achieved this year.

Barrie Crook

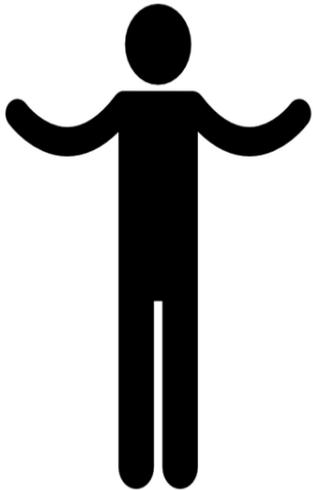
August 2019

The 6 Safeguarding Principles

**Accountability -
and transparency
in delivering
safeguarding**

**Partnership –
solutions through
services with
local
communities**

**Empowerment –
supported and
encouraged to
make a decision**



**Prevention – take
action before
harm occurs.**

**Protection – support
and representation
for those in greatest
need.**

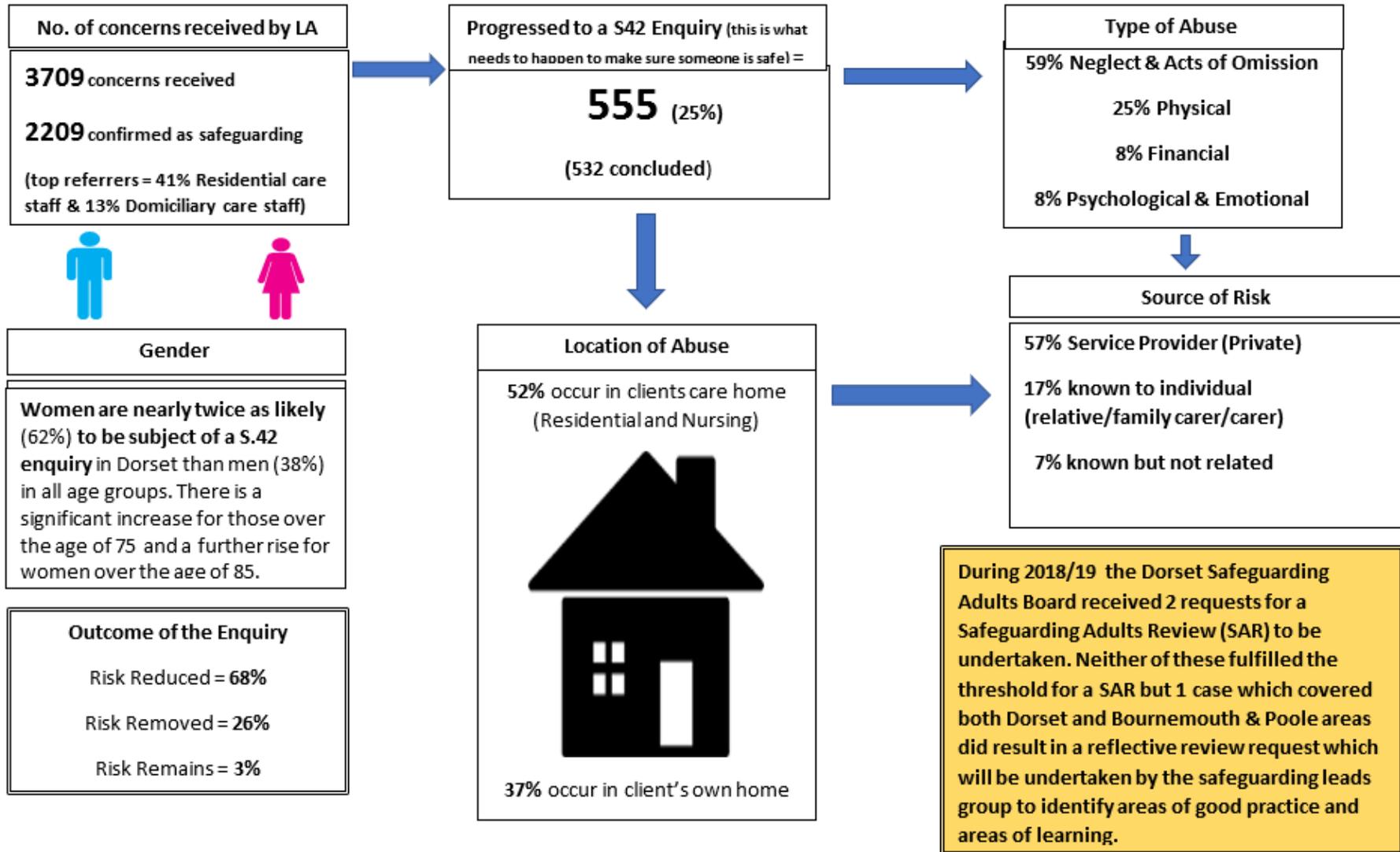
**Proportionate – the
least intrusive
response to the risk
presented.**

Making Safeguarding Personal (MSP) informs the way in which professionals and other staff work with adults.

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Safeguarding Activity & Performance Information 2018/19



What is the Dorset Safeguarding Adults Board?

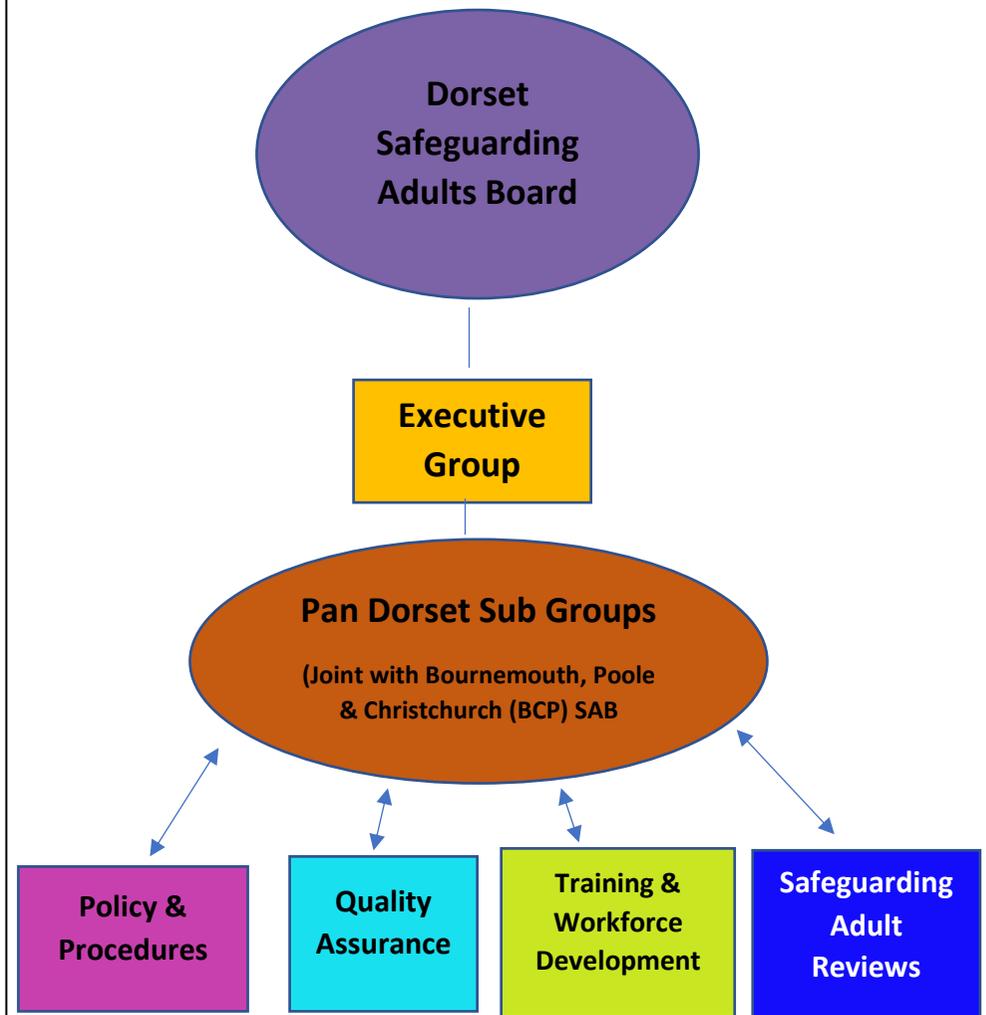
The Care Act (2014) S43 says that every local authority **must** have a Safeguarding Adults Board. The Board must assure itself that everyone is working together to help keep adults safe in its area. The Dorset Safeguarding Adults Board was set up in 2009.

It meets every 3 months to look at the number of concerns received and consider ways that it can help to improve safeguarding practice and keep adults with care and support needs protected from experiencing abuse and neglect.

The Board has 4 shared sub groups as shown in the diagram. These groups focus on completing the work the Board has agreed should be undertaken throughout the year. Each of the sub groups is chaired by a different member of the Board.

The Care Act (2014) says that each Safeguarding Adults Board has 3 core duties

- 1) It must publish a strategic plan each year which sets out its main objectives and how these will be achieved.
- 2) The Board must publish an Annual Report which says what it has done during the year.
- 3) It must conduct Safeguarding Adult Reviews (SAR) when someone has died or has experienced significant harm and it appears that agencies have not worked effectively together.



Who are the members of the Board?

The Dorset Safeguarding Adults Board (DSAB) membership comprises of representatives from the following key partner agencies. Each representative is responsible for sharing information with DSAB and between DSAB and their organisation, ensuring that any necessary actions are progressed as required by the Board.

Dorset County Council	Dorset Clinical Commissioning Group
Dorset HealthCare University NHS Foundation Trust	Dorset County Hospital NHS Foundation Trust
Dorset Police	Dorset Councils Partnership
Dorset & Wiltshire Fire and Rescue Service	Purbeck Council
South Western Ambulance Service Foundation Trust	Christchurch & East Dorset Partnership
Dorset National Probation Service	Dorset, Devon & Cornwall Community Rehabilitation Company
Her Majesty’s Prison Service	Dorset Age Partnership
Poole Hospital NHS Foundation Trust	Royal Bournemouth & Christchurch Hospitals NHS Foundation Trust
Dorset Volunteers Centre	NHS England

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The Board is chaired by an Independent Chair (Barrie Crook) who is appointed by the local authority in conjunction with its statutory partners the Dorset Clinical Commissioning Group and Dorset Police.



The Board's Business Manager is Karen Maher, she attends all meetings to provide professional advice and support to the Board. The Board is also supported by an administrator.

The Board has 4 strategic aims which underpin all of its work -

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Effective Prevention –
Adults are safe from harm and avoidable death. Early Intervention reduces risks and promotes safe services whilst ensuring independence, choice and control.

Effective Safeguarding -
Concerns will be dealt with at an early stage. Intervention is proportionate. Risk is removed or reduced in accordance with the wishes of the individual.



Effective Learning –
People working with adults know their responsibilities and have access to guidance and training. Learning is shared to make improvements

Effective Governance –
There is a culture of mutual support and challenge. Partnerships are held to account. There is commitment to inclusivity and diversity to serve all communities.

Our achievements in 2018/19

In the Boards' Joint Business plan (shared with the Bournemouth & Poole SAB) for 2018/19 had a number of priority work areas. Progress has been tracked throughout the year to ensure agreed actions have been implemented.

What we said we would do.....	What we achieved.....
Publish updates to the safeguarding adult's procedures, taking on feedback from users and partner agencies.	Engagement workshops in November 2018 and February 2019
Review procedures twice per year and ensure that they are being used consistently.	Procedures updated and published in August 2018. https://www.dorsetcouncil.gov.uk/care-and-support-for-adults/information-for-professionals/dorset-safeguarding-adults-board/dorset-safeguarding-adults-board-pdfs/multi-agency-safeguarding-adults-procedures.pdf
Update the Boards website	Website updated to enable increased accessibility. https://www.dorsetcouncil.gov.uk/care-and-support-for-adults/information-for-professionals/dorset-safeguarding-adults-board.aspx This work will continue throughout 2019/20
Increase public awareness of Adult Safeguarding	Attended the Emergency Services Family Day at Kings Park, Bournemouth – July 2018

	<p>Attended Care Home Conference in September 2018</p> <p>Attended the Teenage to Adult Transition Event – Kingston Maurward, Dorchester – October 2018</p> <p>Safeguarding Adults pop up Information stand at Tesco’s – December 2018</p>
Increase provider awareness of safeguarding responsibilities.	Provider Event held at The Crown Hotel, Blandford – January 2019
Continue to promote a Whole family approach to practice	Practitioner Listening Event held in October 2018.
Ensure service users are receiving consistent advice and support through correct application, understanding and implementation of the Care Act (2014) and the Pan Dorset Multi Agency Policy and Procedures.	<p>Commissioned an independent audit of safeguarding practice and decision making across the 3 local authorities.</p> <p>Action plans developed by partners to implement recommendations and embed learning.</p>
Support partners in assuring that their training delivery structures are reviewed and that core messages about safeguarding are integral within all care delivery.	<p>Reviewed mandatory training requirements and disseminated this across all partners.</p> <p>Promoted the 6 safeguarding principles and Making Safeguarding Personal agenda to ensure improvements in practice were made.</p>
Ensure Advocacy is being consistently offered to service users and monitor uptake of the service.	Quarterly reports are received and reviewed by the Quality Assurance sub group.
Improve shared learning and working cross the partnerships.	Shared learning group established with the Childrens Safeguarding Adults Board and Community Safety Partnerships in August 2018 to develop a consistent methodology for disseminating identified learning from Safeguarding Adults Reviews (SAR), Safeguarding Childrens Reviews (SCR) and Domestic Homicide Reviews (DHR).
Development of a 7-minute learning tool.	Tool developed and shared – areas focused on include contractures, self-neglect and emollients.

<p>Increase awareness of Contractures, their prevention and management.</p>	<p>10 learning events delivered across Dorset throughout 2018-19 to improve practitioner and provider awareness.</p>
<p>Improve links with training and education to embed knowledge of Adult Safeguarding.</p>	<p>Link established with Bournemouth University Social Work department. DSAB Business Manager delivered 3 adult safeguarding sessions to undergraduate and masters students during 2018 - 2019.</p> <p>Designated Adult Safeguarding Manager for CCG developed links with Bournemouth University School of Nursing to promote awareness of adult abuse and the Mental Capacity Act.</p>
<p>To effectively capture service user experiences to improve safeguarding service delivery.</p>	<p>Attended the Making It Real Forum in October 2018 to raise awareness of adult safeguarding, listen to views and experiences. Information fed back to the DSAB to inform its work.</p> <p>Continued to attend the People First Dorset, Keeping Safe meetings and Learning Disability Partnerships Board meetings.</p> <p>*Engagement work will remain ongoing throughout 2019 – 2020 to ensure safeguarding experiences and feedback are listened to inform the Boards work.</p>
<p>Ensure transition plans are in place to manage and mitigate the impact of Local Government Reorganisation, health reorganisation and other structural changes upon the quality of care and adult safeguarding.</p>	<p>Risks recognised, and mitigating actions put in place by board members. Risk register updated at each Board meeting to reflect changes.</p>

Mrs A – example Case Study to demonstrate safeguarding process and principles.

Mrs A is a 80-year-old woman who experiences episodes of acute anxiety which lead to sudden falls. She requires medication to aid recovery. Mrs A has also previously had cancer, and this has resulted in the need for her to sit and sleep in a defined position to maintain her breathing and wellbeing. Mrs A's husband died recently, she takes great pride in 'his' garden which acts as a memorial to her husband. She likes to sit in the garden daily and think about him, this has greatly helped her in managing his loss. Mrs A has 3 children. One of her daughters has lived within Mrs A's home for a period due to a break up with her partner. The relationship had been violent and has resulted in the daughter becoming mentally unwell. The daughter is very demanding and controlling of her mother. Mrs A finds this intimidating, causing her increased stress and anxiety. She does not want her daughter living with her. Mrs A's increased stress levels have resulted in more falls and the need for her recovery medication to be used.

Mrs A's son moved his mother out of the home to stay with him as she said she felt scared. A safeguarding concern was raised by the police who had been called to the property by a worried neighbour. A Safeguarding Adult Practitioner visited Mrs A and supported her to express her feelings and wishes. Mrs A desperately missed her garden and wanted to return to her home and for her daughter to leave the property. The safeguarding practitioner recognised the importance of working with the whole family and the need to offer support to Mrs A's daughter who was displaying signs of being mentally unwell. Contact was made with the Community Mental Health Team who were already supporting Mrs A's daughter.

A safeguarding enquiry was initiated and Multi-Agency Risk Management Meeting (MARM) convened which Mrs A was invited to attend, with support, to express her views. Discussions highlighted the risks to both Mrs A and her daughter who was very unwell and enabled a whole family risk management plan to be developed, this included an assessment of the daughter's mental health.

Safeguarding Principles evidenced –

Empowerment – Mrs A was empowered to express her views and wishes. She felt listened to and supported to return to her own home.

Prevention: Working with a small support network of professionals enabled the abuse to stop and prevented any further incidents occurring.

Protection: As a result of the multi-agency approach, an effective risk management plan was agreed which supported both Mrs A and her daughter to remain safe. By providing specialist Mental Health support to the daughter she was supported to leave the property. No further incidents of Abuse have occurred since Mrs A returned home.

Proportionality: Mrs A was clear that her desired outcome was to return to her home and to take no action against her daughter who was unwell. The multi-agency response respected Mrs A's wishes and supported her to achieve her expressed outcome.

Partnership: Successful multi agency working enabled Mrs A to return home safely.

Accountability: All Professionals worked with Mrs A together to manage presenting risks. Agreed action plans were shared and evidenced use of Making Safeguarding Personal Principles throughout the whole safeguarding process, to meet Mrs A's desired outcomes.

The Boards areas of focus during 2019 – 2020

The Boards have identified 3 overarching issues which are deemed a priority for organisations to address during 2019 – 2020. All will involve engagement with other partnerships.

1) **Domestic Abuse:** Adults in need of care and support –

- are identified and protected from the risk of domestic abuse,
- can protect themselves from the risk of domestic abuse,
- receive a service that meets their needs and provides them with specialist support and advice,
- professionals know when to intervene to protect an adult with care and support needs who is subject to coercion and control.

2) **Exploitation:** Adults in need of care and support –

- subjected to exploitation are identified and supported at an early stage,
- are enabled, where appropriate, to move away from the crime,
- support services for victims of exploitation should be accessible and sensitive to individual needs.

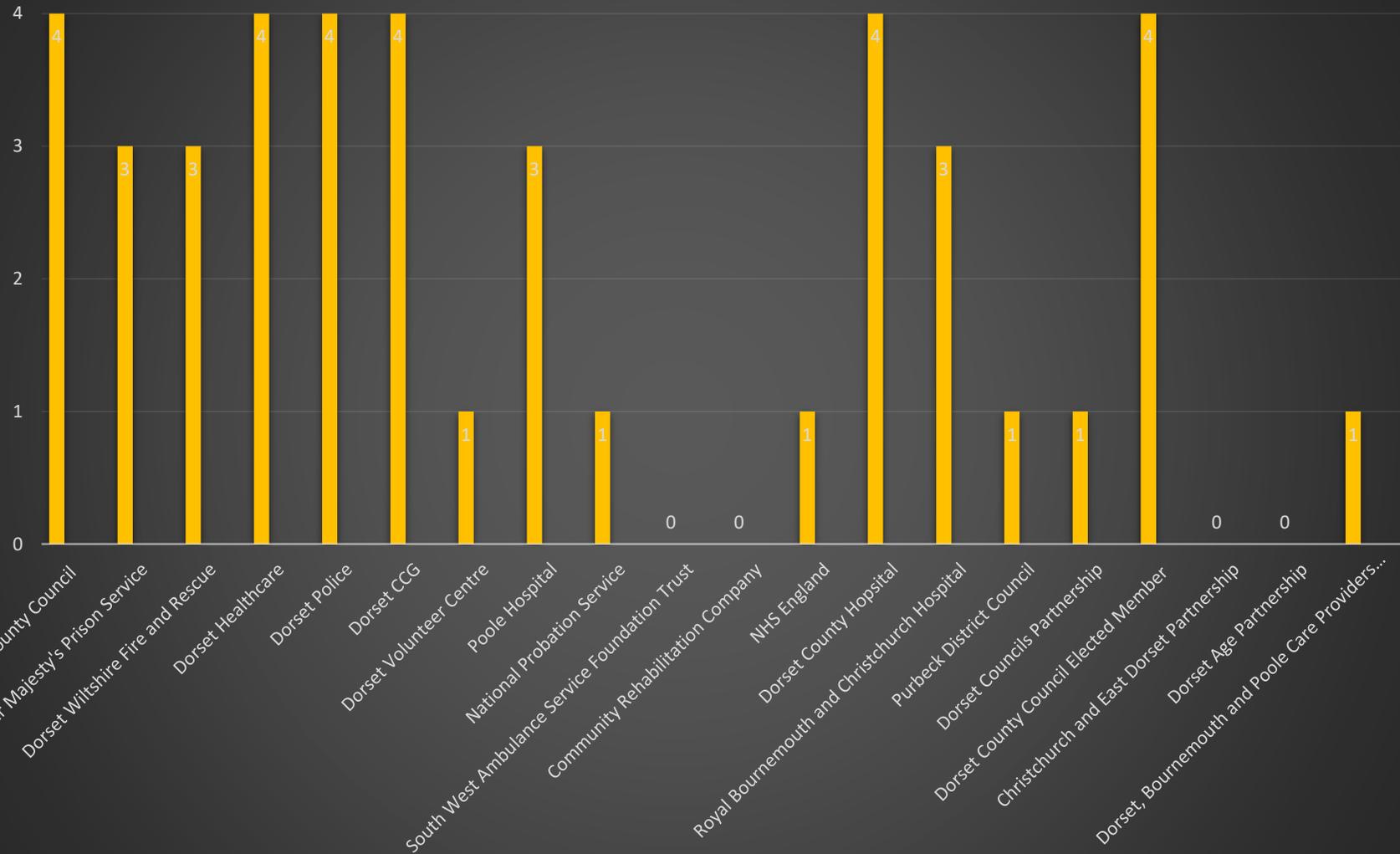
3) **Neglect and Self Neglect:**

- targeted initiatives to prevent instances of adults with care and support needs experiencing neglect and self-neglect,
- resulting in a reduction in safeguarding concerns.



- ✓ Childrens Safeguarding Board
- ✓ Community Safety Partnership
- ✓ Health & Well Being Board
- ✓ Workforce Action Board
- ✓ Pan Dorset Domestic Abuse & Sexual Violence Strategy Group
- ✓ MARAC Steering Group
- ✓ Learning Disability Partnership Board

DSAB Meetings 2018/19 - Member attendance





See It?



Hear It?



Report It?

Abuse is wrong

Tell someone

- Residents in the Dorset Council area call – **01305 221016**
- Christchurch and Bournemouth Residents call – **01202 454979**
- Poole Residents call the Adult Social Care help desk – **01202 633902**
- If outside of normal office hours, please call the Out of Hours Service on **01305 858250**

If you think someone is at **immediate risk** of harm contact the Police by calling **999**.

You can also tell a health or social care worker such as a Social Worker, Nurse, Doctor or Occupational Therapist

Appendix 1 – Partner Reports



- Safeguarding Improvement Forum established.
- Quarterly learning groups to develop knowledge and skills of practitioners.
- Supported design and delivery of 10 Contracture awareness training sessions across Dorset.
- Mental Capacity Act Conference took place in February 2019.
- Intensive preparation for Local Government Reorganisation.

Effective Prevention – Dorset continues to work within an integrated care system (Our Dorset) which brings together different organisations and systems to improve both the health and social care system and importantly the experience of individual who use services. Prevention at scale and integrated community services will support individuals with care and support needs to stay safely at home and in their communities. Dorset continues to attend Care Quality Monitoring Group to share intelligence and concerns about the quality of care provision and identify any measures necessary to improve services. Dorset maintain a strong partnership working arrangement with providers to ensure an open and transparent culture. The Safeguarding Team has a dedicated duty worker available each day to manage advice and information calls. This builds greater confidence amongst practitioners, providers, members of the public and ensures identified safeguarding concerns can be addressed and acted upon quickly. Improved data analysis assists in identifying consistent areas of concern and required actions to address these, for example high level of Safeguarding Enquiries in relation to Neglect & Acts of Omission.

Effective Safeguarding – The Specialist Safeguarding Team in Dorset offering a single point of contact for safeguarding concerns, has been relocated to County Hall in Dorchester. This enables greater alignment with the Adult Access Team, Service User Financial Affairs, Mental Capacity Act Team and Quality & Monitoring Teams. A rolling monthly audit programme checks decision making is consistent, robust and any learning is acted upon to improve responses and quality of the service. Making Safeguarding Personal has been an area of focus over the last 12 months. Significant Improvements have been made in this area with more individuals being asked to identify their outcomes. This work will continue in 2019/20.

Effective Learning – Safeguarding Training has been reviewed to ensure that practitioners are confident to identify and respond to abuse and neglect effectively. A series of safeguarding workshops were delivered to practitioners and managers across Dorset which included a legal update. Adult Safeguarding is scheduled as a key focus area in the quarterly learning group programme. A Safeguarding Improvement Forum has also been established bringing together key professionals to discuss/ address safeguarding issues and developments. The Mental Capacity Act Conference held in Dorchester during February 2019 was another success with over 400 delegates attending. Workshops included Safeguarding & Coercive Control and Supporting People with Communication Difficulties. Alex Ruck Keene delivered a key note speech on the Liberty Protection Safeguards. 10 Contracture Awareness sessions were also delivered across Dorset in response to findings from a Safeguarding Adults Review.

Effective Governance – Intensive preparations for Local Government Reorganisation took place throughout the year to ensure a smooth transition for those individuals receiving support – who would be transferred to the new BCP Council. Dorset County Council ceased to exist on the 31st March 2019. The newly formed Dorset Council incorporates the former District and Borough Councils and remains committed to ensuring a safeguarding culture focused on personal outcomes for people with care and support needs.



- Developed an Adult at Risk Triage team in Weymouth.
- Redeployment of staff to Public Protection and safeguarding.
- Creation of Safeguarding Referral Officers.
- Make the Difference Team Established.
- Look Beyond the Obvious Training materials implemented.
- Modern Slavery & Human trafficking training available to staff.
- Safe and well checks conducted in response to County Lines in West Dorset.

Dorset Police continue to work closely with partners to safeguard adults across Dorset.

Police incidents are increasingly involving vulnerable adults with missing persons reports and concern for safety calls to police placing significant demand on policing. Dorset Police have presented at the Board to share the increasing demand that protecting vulnerable adults is having and seeking support from other agencies to work effectively to protect those most at risk of harm. Dorset Police have redeployed staff to Public Protection teams to manage these risks. Dorset Police are developing their approach to adult safeguarding with the focus on risk identification, assessment and management including signposting to community services and better quality referrals to other agencies, by way of a Public Protection Notices (PPNs), when necessary. This is work in progress.

Identifying and responding to vulnerability is a key priority for the Force and this starts from the initial call to the police, through Force tasking and coordinating processes and to the allocation and deployment of resources.

Dorset Police have uncovered 'hidden' forms of harm such as vulnerable people being trafficked or subjected to forced labour. The Force has created a county line neighbourhood policing approach to target organised crime groups and safeguard vulnerable people who may be at risk of exploitation.

Effective Prevention

Dorset Police have worked hard to understand the effectiveness of the Force response to vulnerable people with reviews of domestic abuse investigations, missing person incidents and modern slavery and human trafficking offences.

The Force has worked with national partners to develop and implement effective safeguarding practices, for example, the National County Lines Coordination Centre and the College of Policing.

Effective Safeguarding

Dorset Police have developed a more effective way of sharing reports following police contact with vulnerable people with partner agencies. A team of Safeguarding Referral Officers (SRO) now manage the referrals for vulnerable adults, domestic abuse and vulnerable children within the Safeguarding Referral Unit (SRU). Dorset Police make referrals to the Safeguarding Adults Review Subgroup and contribute to the assessment of

referrals submitted by partner organisations. Dorset Police have implemented the learning from Safeguarding Adult Reviews, for example the Domestic Abuse Investigation and Vulnerable and Intimidated Victims and Witnesses Policies and Procedures have been updated.

Dorset Police have developed their capability to effectively investigate Modern Slavery and Human Trafficking (MSHT) offences with new procedures being implemented and training for Detective Inspectors. Further training for frontline staff and the introduction of MSHT Investigative Champions is planned for 2019-20.

Effective Learning

The College of Policing 'Look beyond the obvious' vulnerability training was delivered to all front-line officers between September 2018 and April 2019. This one-day training sought to further improve the skills of the frontline to effectively support the complex needs of vulnerable individuals, to encourage professional curiosity and to ensure the Force is better equipped to deal with the shift in demand towards safeguarding and public protection. This training has had a positive impact on officers and the way they identify, assess and deal with vulnerability. Further training for frontline officers on Mental Health and Missing People is taking place during the autumn 2019.

Effective Governance

The Dorset Police and Crime Plan 2017 – 2021 sets out 4 priorities:

- Protecting People at Risk of Harm
- Supporting Victims, Witnesses and Reducing Reoffending
- Working with Our Communities
- Transforming for The Future

The Chief Constable with the Police and Crime Commissioner hold a monthly Force Performance Meeting which provides governance and drives the Force vulnerability agenda. The Police focus on Crime Data Integrity has continued over the last year ensuring effective crime recording in line with the national standards set by the Home Office and is now much improved. This ensures that victims are identified and afforded their rights within the Victim Code of Practice. Internal audit and review continue to be developed and conducted by the Force Make The Difference Team. Quarterly Adult PPN data is now shared with the Quality Assurance sub group which enables partners to better understand the types and volumes of PPNs. Multi Agency Risk Management (MARM) meetings are utilised by Dorset Police. They will participate in a future audit of the use of MARM in Adult Safeguarding.

Dorset Police Adult Safeguarding Team now has offices at Bournemouth and Weymouth police stations and they provide specialist safeguarding advice and support for the most vulnerable. Their work includes MARAC, Clare's Law disclosure requests, attendance at the specialist domestic abuse courts and initial triage of adult at risk referrals.

- Annual conference held in September 2018, over 100 delegates from across the region attended. The focus of the day was exploitation.
- A safeguarding General Practice audit tool has been developed. Dorset have taken a lead on this work.
- A South West South rapid improvement event in November brought health and care partners together to identify challenges and areas of improvement in discharge.
- The South West safeguarding networks have worked with Primary Care to support the awareness of domestic abuse/violence.

NHS England are focused on developing and maintaining strong safeguarding partnerships across health and social care to enhance the way we protect, support and improve the lives of those at risk in our local communities. We must always be thinking about the challenges that lie ahead.

NHS England and NHS Improvement remain committed to working with our multi-agency partners to ensure that the interests of those at risk inform our decision making and that health organisations not only meet their legislative obligations, they are also listening to the voices of communities as well as those caring for them both professionally and in a caring, voluntary capacity.

Other Key Achievements:

- Health Network developments across the South West. These networks brought Clinical Commissioning Group safeguarding leadership teams together to creating a community of practice and peer support. Key priorities for the network meetings were to review the challenges across their local areas, what priorities are evident to them and to support collaboration and successes in their safeguarding work, and opportunities for learning from each other's good practice.
- South West Prevent workshop in March 2019 was well attended by partners from North and South. Supported by guest speakers from the Home Office and Police, attendees had the opportunity to work through Prevent issues local to them and to hear the journey of restorative care and support provided by the Home Office. Further workshops are planned for 2019/20.
- South Region Named GP Safeguarding Forum - the initial forum was convened in March and brought contribution from partners across the South. A very well attended event with over 30 Named GPs present. Further plans include a South West/South East forum in 6 months and a further pan South event in March 2020. Feedback from the event was very positive.
- The South West safeguarding team have worked in partnership with the NHS England and NHS Improvement National Safeguarding Team and local safeguarding partners to support the delivery of the national safeguarding priorities across the South West, and to support the networking of professionals across England to ensure sharing of best practice and learning from risks and issues.
- A strong focus on learning from cases both nationally and locally has been an ongoing theme in the work of the safeguarding networks. Learning from both child and adult reviews, has supported development of health and care systems across the South West.
- NHS England South (South West) team worked closely with local representative committees in Primary Care to raise the profile of safeguarding and identify any local or regional learning needs for Primary Care providers.



Dorset

Clinical Commissioning Group

- Facilitated workshops regarding domestic abuse, coercion and control, stalking, adolescent to parental violence to all GP Domestic Abuse practice leads.
- Development of a web-based Quality Assurance tool for adult safeguarding.
- Delivery of Domestic abuse and Mental Capacity Act training for practice nurses.
- Development of pressure ulcer and safeguarding guidance to support identification and decision making.

Effective Prevention: The delivery of Domestic Abuse (DA) training to primary care, practice nurses and pharmacists has increased the awareness of the overall agenda of Domestic Abuse as well as the responsibilities of staff. The CCG has supported the Safeguarding Adults National Network and the national Mental Capacity Act huddle. NHSE National Head of Safeguarding visited Dorset in October 2018 to give an oversight of the safeguarding agenda from a health perspective. The Designated Adult Safeguarding Manager (DASM) is an active member of the pan Dorset domestic abuse group, Pan Dorset PREVENT group and the Pan Dorset Anti-Slavery partnership. The DASM is also a member of the three Community Safety Partnerships and has undertaken work with the business manager for multiagency risk assessment conference (MARAC) to review the requirements of health representation. The annual adult safeguarding training to the CCG Governing Body was delivered by the safeguarding team.

Effective Safeguarding: Domestic Homicide Reviews have been shared across all commissioners to consider how current services are delivered and to influence commissioning arrangements. Work has been undertaken between the police and primary care to consider the effective management of public protection notifications. Links have been developed with probation to review the communication with the current Multi Agency Public Protection arrangements. The DASM works with the CCG Patient Safety and Risk team to review Learning Disability Mortality Review Programme (LeDeR) reviews from a safeguarding perspective.

Effective Learning: - Regular adult safeguarding health leads supervision sessions have been held throughout the year, which embrace supervision and learning on a monthly basis this. Legal literacy training has been offered to all safeguarding health leads including adults and children. Designated Adult Safeguarding Manager supported the delivery of a presentation around safeguarding, coercive control and mental capacity at The Mental Capacity Act conference. The Intercollegiate adult safeguarding competencies have been adopted throughout the health system and plans are being developed to ensure these are embedded within the next three years.

Effective Governance: -

The CCG collates the activity data from all the NHS providers to give an overview of safeguarding activity within health to the safeguarding adults board quality assurance sub group.

- Development of the Think Family Group to ensure that safeguarding and promoting the welfare of children, young people and adults at risk is integral to clinical practice within all Adult and Children's Services within the Trust.
- Mental Capacity Act Pocket guide booklet for staff developed to improve implementation.
- Advice & support helpline for staff – 340 advice calls received.
- Actively support and participate in Multi Agency Risk Management process.

Effective Prevention: Dorset Health Care (DHC) supported national drivers such as Stalking Week and the 16 Days of Action project for Domestic Abuse. Information was made available to staff on the intranet that included national helpline details and a series of tweets. DHC's Safeguarding Adults Service has provided advice and support to staff providing care to people who were demonstrating self-neglect; where risk was managed using the Multi-Agency Risk Management (MARM) process; over 39 new cases were supported in the financial year. This is significantly lower than the previous year and perhaps indicates that DHC staff awareness of their responsibilities in relation to the MARM process. A Draft transition care plan for use with MCA cases that are going to the Court of Protection has been developed along with a Draft tracker tool for in-patients who are likely to be subject to a Court of Protection Order regarding discharge.

Effective Safeguarding: A new pocket guide and booklet for staff has been developed to improve implementation of the Mental Capacity Act. It offers support, suggestions and considerations to encourage implementation and promote best practice. The Safeguarding Adults Team continues to support staff with safeguarding queries and concerns. During 2018/ 2019 340 advice calls were taken from staff. DHC continues to support Large Scale Enquires. Support has ranged from sharing details of care provided to completing joint assessments of residents' care needs with the Local Authority. DHC staff also supported a task force approach led by Local Authorities to help minimise risks, collate information and support care/nursing homes that are under Large Scale Enquiry. A document has been drafted that is designed to set out the patient journey from the point of admission to discharge for patients who lack capacity to make decisions about their welfare and residency. The aim of the document is to support staff in following due process and avoid delayed discharges. A safeguarding module is being developed that will be used by both Adults and Children's Safeguarding Teams. All advice and actions required by staff can be recorded on this module, which will be implemented by autumn 2019.

Effective Learning: The Safeguarding Adults and Children's Teams together with the Serious Incident Team and Quality Assurance are exploring how learning from DHRs, SARs and SCRs can be effectively disseminated, and action plans monitored. How people learn along with best practice across other health trusts, will be researched and used to plan a strategy to deliver this around this in DHC. Members of the Safeguarding Adults Team supported a safeguarding stand at the annual MCA conference held by Dorset County Council. The team also regularly presents at the monthly Pressure Ulcer Workshops to increase awareness of safeguarding processes and Mental Capacity Act. Bespoke training on Mental Capacity is also being delivered to District Nursing Groups.

Effective Governance: The Safeguarding team continues to quality assure all Nominated Enquiry Reports to ensure all appropriate learning and interventions have been identified and action plans implemented from derived safeguarding enquires. The team also reviews all safeguarding adults' incidents to ensure these are raised with the Local Authority and/ or Police if required.

- CQC rated Adult Safeguarding at the hospital as OUTSTANDING.
- Commissioned produced and showcased 3 short films about the Mental Capacity Act (2005).
- Supported the Learning Disability Awareness Week – Treat Me Well.
- Supported the DSAB Provider Event in January 2019.

Effective Prevention: Dorset County Hospital continue to raise awareness of Safeguarding Adults through variety of means. A recent Care Quality Commission inspection rated the Adult safeguarding service at Dorchester County Hospital as Outstanding. The service engages with all service user groups and during 2018/19 has been particularly proactive in supporting individuals who have a learning disability. This focus has included supporting the Learning Disabilities Awareness week and linking with Mencap's 'Treat Me Well Campaign' as well as delivering 'breaking bad news for people with learning disabilities for LD Champions in May 2018. Support and guidance has also been provided to Emergency Department consultants about the Mental Capacity Act and deliberate self-harm.

Effective Safeguarding: DCH utilise a cause for concern form for staff that is directed via email to both DCC hospital social care Safeguarding lead at DCH & MCA advisor. This form has been updated to capture referral's for self-neglect and domestic abuse and highlights that if there is any potential risk to a child advice needs to be sought from the internal Children Safeguarding team or MASH, this promotes a whole family approach to safeguarding. Included is also location and ethnicity to try and capture any emerging trends in communities which is an emerging NHS England approach. All adult safeguarding concerns are reviewed at a weekly meeting, in attendance are DCC hospital social worker /DCHFT adults safeguarding lead and/ or MCA advisor / discharge lead/ dementia lead practitioner and on request Tissue Viability Nurse. An action plan is formulated, and this information is shared with the ward team that have submitted the concern. Themes and trends are recorded and fed back through the Quality Assurance Committee for the Board and Safeguarding Adults Committee. The trends for the past twelve months have shown an increase in staff submitting concerns for people under the age of 75, although these people often don't meet the Local Authority Safeguarding criteria.

Effective Learning: Dorset County Hospital recognises that people learn in a variety of ways and teams have many conflicting priorities preventing them attending 'traditional' training events. Therefore, the Safeguarding team have actively pursued a variety of approaches to education, these have included and updated intranet site, learning disability champion meetings offering clinical supervision, regular updates to all staff via internal communications update, notice boards highlighting different aspects of Safeguarding aligned with specific national event days, for example Sexual violence or National Autism week. Dorset County Hospital Safeguarding team have also successfully launched in collaboration with Dorset Clinical Commissioning Group three short videos - what is mental capacity? and 2 different scenarios where the principles are applied.

Effective Governance: Quarterly Safeguarding Adults Group meetings are chaired by the Trust Executive lead for Safeguarding which is attended by both Trust Staff and external agencies. The Trust governance framework incorporates a quality committee where exceptions are reported and issues for escalation agreed and reported to the Board. This is in accordance with the Trusts Board constitution.

- The trust has developed a Learning Disability Strategy to provide direction in the work undertaken in the trust.
- An electronic referral form has been developed to simplify the process for staff when raising concerns.
- Training is reviewed on an ongoing basis; this year additional time has been allocated to support the understanding of the Mental Capacity Act and Deprivation of Liberty Safeguards.
- A Mental Health Steering Group has been formed to provide oversight and coordination of the work to support people with mental ill health whilst receiving care in a physical health setting.

Effective Prevention:

Recruitment of a skilled workforce, with ongoing development and education of our staff is central to prevention of safeguarding concerns both within the trust. We are actively developing new recruitment strategies to prevent shortfalls in workforce. Our safeguarding training is organised to ensure that it embraces the complexity and range of safeguarding concerns which arise in our community and beyond.

Effective Safeguarding:

Safeguarding continues to be central to the work to provide safe, caring, effective, responsive and well led care within the hospital and acts as an enduring thread in the delivery of our strategic objectives. The expanding understanding of the potential threats to the vulnerable alongside an increasingly frail and elderly population with chronic health concerns means that this work is growing year on year and becoming increasingly complex. We continue to value working collaboratively with partner agencies to achieve the best outcome for patients. Further work to facilitate safe and easy transfer of information, share ideas and experience and develop consistent approaches across organisations is welcomed through the working of the Board and its subgroups.

Effective Learning:

The learning from safeguarding concerns and enquires is shared through a variety of forums. Such learning from local and national events is also used throughout the trust update and induction training as individual case studies to provide context to discussions and connection with staff own roles. Further staff have joined the role of safeguarding champions to act as local links in clinical practice and help increase knowledge and confidence in wards and departments, these staff receive additional education through planned seminars with local experts.

Effective Governance: The Trust received it's CQC inspection report in January 2018 and was pleased to receive an overall rating of 'good', this included a rating of good for the 'well lead' domain. The Trust agreed and implemented an action plan with CQC and Dorset CCG to address those areas where further improvement was required. As part of this the Trust developed its a Learning Disability Strategy and Mental Health Plan.

- Electronic Cause for Concern form developed and in use.
- Work closely with social care partners by meeting weekly to screen referrals
- “Think Family” is now embedded within the Trust
- The Trust has a whistle blowing policy
- Completed Adult Safeguarding Training for staff is 97%
- Adult Safeguarding lead nurse attends both the Dorset and Bournemouth and Poole SAB Meetings.

Effective Prevention:

The online Cause for Concern form used within the service enables all staff within the service to raise concerns as safeguarding is everybody’s business. The Adult Safeguarding Team are visible within the hospital on a daily basis which enables staff to seek advice and support about any concerns they may have easily.

Effective Safeguarding:

The Adult Safeguarding Team work closely with Social Care partners on a daily basis and seek advice from each other. There is a Trust culture of safeguarding being everybody’s business. We also work in partnership with the Police, Ambulance and Fire and Rescue services to effectively safeguard the public.

An audit was undertaken in the use of the Cause for Concern sticker alerts which are attached to the patient’s documentation. Results indicate that compliance and understanding of safeguarding are better than anticipated. Staff have been reminded of the importance of using this alert as this is a prompt to other areas, should the patient be moved.

Effective Learning:

The Trust target for the completion of safeguarding training is 90%; however Adult Safeguarding Training is continually over 95%. This year 97% of staff completed the training. The trust actively participates as required in any Safeguarding Adults reviews undertaken by the Board. There has also been an increased number of LeDer (Learning Disability Mortality Review) which the trust has been actively involved in, working in partnership with other agencies. The trust has Recruited a Registered Learning Disability Nurse to provide specialist support tot this client group within the hospital.

Effective Governance:

The Trust partakes in all local and national safeguarding audits and initiatives, adjusting practice accordingly. Internally the Safeguarding Committee, which reports directly to Board, undergoes regular review and external audit.



Dorset Councils Partnership



The former District and Borough Councils delivered a range of actions during 2018/19 including;

- Delivery of enhanced safeguarding training for front-line and visiting staff.
- Ensured that all staff completed basic on-line safeguarding training.
- Revised policies and procedures to improve consistency and support to staff.
- Updated council intranets with topical safeguarding information.
- Ensured that taxi drivers undertook enhanced safeguarding training.
- Raised staff awareness about the prevalence and signs of modern slavery.

The District and Borough Councils were abolished on 1 April 2019 as part of local government re-organisation in the county. Considerable work took place during the year to ensure a smooth transition to the new Dorset Council including safeguarding provision.



- During 2018/19 the safeguarding service managed 325 advice calls from staff through the Trusts safeguarding helpline.
- During 2018/19 the Trust generated 19750 safeguarding referrals from approximately 1.5 million contacts with patients across emergency and urgent care services.
- Published a clutter image rating scale guide for staff.
- Provided a specialist safeguarding seminar for the Board

SWASFT has responsibility for the provision of ambulance services across an area of 10,000 square miles which is 20% of mainland England. During 2018/19 the Trust's safeguarding team was restructured to improve efficiency and accessibility. The primary change was the introduction of the Safeguarding Business Manager. The purpose of this new role is to provide a single point of contact for external partner agencies. The Trust is aligned to 28 Local Safeguarding Adults and Children Boards / Partnership within its geographical area of operations. The Safeguarding Service endeavours to maintain relationships with all these organisations.

Effective Prevention and Learning - The Trust's Training Strategy in 2018/19 required all non-clinical staff to achieve level 1 training in safeguarding children and adults and all staff who have contact with patients to achieve level 2 training in safeguarding children and adults. Level 1 was achieved through completion of a mandatory workbook and level 2 was achieved through 3 hours of face-to-face training, delivered in-house by Named Professionals or Learning and Development Officers. A small number of staff also trained to level 3 and level 4 to support their specialist roles. Key themes emerging from safeguarding activity and analysis are embedded in the training.

Effective Safeguarding -The Safeguarding Service has set up pathways to refer concerns to a wide range of partner agencies. Some referrals are sent to multiple agencies if appropriate. The Head of Safeguarding and Named Professionals have frequent discussions with partner agencies to ensure that pathway choices continue to be appropriate. The largest theme is concern about the care package in place for patients. This will often be older patients or those with a disability. Whilst many of these concerns will not reach a threshold for safeguarding with the Local Authority, the Trust recognises the value of raising concerns at an early stage. Self-neglect is the most significant safeguarding concern in adults which is coherent with intelligence being shared by partner agencies.

Effective Governance - During 2018/19 the Safeguarding Service strengthened the process used for managing allegations. The Service:

- set up a weekly confidential peer-review meeting for case discussion to improve the consistency of decision-making within the safeguarding team,
- established a process to assist managers and HR to make decisions about making DBS referrals,
- created a process for providing a specialist safeguarding statement to support disciplinary hearings,
- the Safeguarding Service carried out an audit of the quality of referrals.



- The service underwent a full inspection in August 2018. Rated as **Good** overall.
- Supports and contributes towards joint working approaches.
- All staff undertake safeguarding training.
- Seek to ensure victims and perpetrators are supported.

The Head of Service in Dorset seeks to ensure full engagement and integration across the various boards to support linked up thinking and deliver statutory responsibilities. In the context of the Boards strategic aims the National Probation service

Effective Prevention and Effective Safeguarding

Joint working approaches through Multi Agency Public Protection Arrangements, Multi-Agency Risk Assessment Conferences, Stalking Clinics and other professional's meetings in relation to individuals are well attended and /or supported by National Probation Staff (NPS) and seek to ensure victims and perpetrators are supported and managed to minimise safeguarding concerns. Suitable use of recall, licence variation conditions and breach of Community orders support prevention and safeguarding

Effective Learning:

All National Probation Service (NPS) staff attend either face to face safeguarding training and /or NPS e -learning on Domestic Abuse and Safeguarding.

Effective Governance:

The National Probation Service senior management contribute to a significant number of Boards across the Pan Dorset area, which seek to support adult safeguarding, this includes MAPPA, Domestic abuse and Sexual Violence Strategy group, Children's safeguarding and Community Safety and Criminal Justice strategy group. The Head of Service in Dorset seeks to ensure full engagement and integration across the various boards to support linked up thinking and deliver statutory responsibilities.



- Large number of elderly residents who have care and support needs.
- Working closely with Dorset Council to identify needs and provide to those eligible for care.
- 80 bed social care facility established within the service.
- Provision for 20 wheelchair reliant residents.

HMP the Verne has a responsibility to deliver a duty of care to all of our residents. In prisons, 'Safer Custody' and 'Risk of Harm' have, historically, tended to be the language used when discussing issues of safety and the term 'Safeguarding' has often been left open for interpretation. In our first year of operating, our aim has been to address these issues by clearly defining, communicating and implementing our policy in respect of safeguarding adults within our establishment: The key principles which inform the ways in which Prison and Probation Service staff and all other stakeholders work with our residents are as follows:

We endeavour to provide a safe and secure environment through the introduction of processes which promote the protection of people who are at significant risk of serious harm. A fundamental aspect of our duty of care is to offer our residents this protection when it is needed. Residents who are unable to protect themselves, as a result of personal care and support needs, are provided with this same level of protection that is equivalent to that which would be provided in the Community. This may be by reason of mental or other disability, age or illness; and who is or may be unable to take care of him or herself, or unable to protect him or herself against significant harm or exploitation.

To prevent abuse and neglect, we ensure that a resident's needs are comprehensively assessed and that those needs are met. This process begins on Reception and continues throughout their time in the establishment. Interviews and conversations with residents are central to identifying and meeting need.

At HMP the Verne, the Reception and First Night Centre processes are crucial in identifying an individual's needs. Our induction process ensures that all residents are assessed for potential harm to themselves, to others (and from others). Our Residential Services play a key role in ensuring that residents are supported, and their daily needs are met. Following progression from Reception/ Induction, residential staff and healthcare have a key role in spotting any signs of distress, anxiety, anger or other abnormal behaviour which might lead to residents harming themselves.

Under the Care Act (2014) local authorities in England who have a prison and or approved premises within their geographical area have responsibility for assessing and meeting the eligible social care and support needs of adult residents detained in prisons. We have a large percentage of elderly residents and residents with disabilities and these have been found to be most likely to need assistance.

The Verne is working closely with Dorset Council to identify who needs help and to provide care workers for residents who are eligible for care. Although there is much work still to be done, we have already made major steps towards a social care system whereby our residents will receive an equal level of support to that which is provided to the other residents of the County of Dorset.



- A safeguarding prompt question has been added to Incident Response System.
- 8 members of staff have completed Domestic Abuse training delivered by the charity You First and will be Champions for DA within the service.
- Additional Deputy Safeguarding Leads (DSL's) have been put in place within the service.
- Service supported #TellUsWhatYouSee public intelligence gathering campaign around Modern Slavery and Human Trafficking.
- Delivered learning session on risk of emollients at DSAB Provider Event in January 2019.

Dorset and Wiltshire Fire and Rescue Service (DWFRS) continue to develop and embed safeguarding standards across the organisation supported by a rolling programme of training. DWFRS work in collaboration with local safeguarding boards, councils and other partners to ensure the Service is compliant with national safeguarding legislation.

Effective Prevention: The Fire Authorities policy and the Service's procedures adopts a 'whole system approach' to adult and children's safeguarding and they are reflective of our key principles. Safeguarding arrangements are delivered via a broad spectrum of activities including the support and promotion of both national and local safety campaigns, through specific intervention such as operational incidents, Safe and Well visits, multi-agency training and awareness. By working closely with other agencies, we can utilise information sharing to keep vulnerable persons safe and to keep others safe, including DWFRS staff. We are sharing more stations with The Police and working more with the South West Ambulance Service to gain entry. By raising low level concerns early, we hope to prevent situations reaching safeguarding. One of our 5 priorities are 'Making safer and healthier choices'. This is about educating people to the dangers around them and preventing risky behaviour. The service is also taking part in a pilot with the National Fire Chiefs Council with hospital discharge teams and the British Red Cross. We are 1 of only 3 brigades involved in this pilot.

Effective Safeguarding: We fully recognise our duty to protect vulnerable people and work with partners to ensure that we have processes in place to provide the right support to those people when they need it. Formal safeguarding arrangements are developed and delivered predominantly by the Safeguarding Lead who is responsible for supporting the organisation in its policy commitment to safeguarding and promoting the welfare of children and adults at risk. To ensure organisational resilience, we have a Single Point of Contact (SPOC), Safeguarding Lead and Deputy Safeguarding Leads. Cover is available 24 hours a day, 365 days a year by the Duty Area Manager who is contactable through Fire Control. The Safeguarding Lead represents the service on local sub groups and meetings where we are actively involved in safeguarding

Effective Learning: The Safeguarding Lead meets twice a year with Safeguarding Adults and Community Services Learning & Organisational Development Advisor. All training is discussed. A pre and post training survey is circulated so we can monitor if training has been embedded. Results were reviewed and showed safeguarding is very much embedded with a 99% positive outcome. DWFRS Learning is shared with Local Authorities. This led to 2 events to care professional on the risk of emollients.

Effective Governance: Area Managers give strategic management representation on all Local Safeguarding Boards.

Appendix 2

During 2018 -2019 the Dorset Safeguarding Adults Board has attended several events to increase public awareness of Adult safeguarding.





Cabinet

Report of the Monitoring Officer on an Investigation by the Local Government and Social Care Ombudsman

Date of Meeting: 1 October 2019

Director: Jonathan Mair, Corporate Director, Legal & Democratic

Executive Summary:

This is a formal report by the Monitoring Officer and is issued under Section 5A of the Local Government and Housing Act 1989. It follows the publication of findings by the Local Government and Social Care Ombudsman that failings by the former Dorset County Council led to a boy with autism being left without a proper education for two years. Those failings have been found by the Ombudsman to amount to maladministration resulting in injustice to the complainants and their son and also to be part of wider systemic failings.

The purpose of a section 5A Monitoring Officer report is to ensure that the findings of the Ombudsman are brought to the attention of the Cabinet. This reflects the seriousness of a formal finding of maladministration and injustice and is to enable the Cabinet to satisfy themselves that there is an appropriate action plan in place to:

- Ensure that there is no repetition of the failings identified by the Ombudsman and
- Address any wider systemic issues identified by the Ombudsman.

In addition to formally finding the former County Council to have been at fault the Ombudsman has made ten recommendations to Dorset Council as successor council, the first five of which are specifically to address the injustice suffered by the child and his parents. A further five recommendations are of wider application and are system related.

I recommend that all ten recommendations are accepted and acted upon. I also recommend a review to ensure that recommendations made by Ombudsman in eight previous cases involving the former County Council have been fully acted upon.

I am required to by law to consult the Chief Executive as Head of Paid Service and the Chief Finance Officer on the content of this report. In view of the subject matter this report has also been written in consultation with the Executive Director for People – Children.

There is also a requirement that my report and the Cabinet's response to it must be circulated to the balance of Council i.e. the seventy-two councillors who are not members of the Cabinet.

Ahead of the reporting requirements in this paper the Council was required by the Ombudsman to place two public notice announcements in local newspapers to publicise the Ombudsman's report and to make copies of the report available free of charge at our offices. The Council has gone beyond this and has also:

- put a copy of the report on its website
- included an article about it in its SEND e-newsletter and
- issued a press release as soon as the report was published.

That Council's own press release included a [statement from the Cabinet member for Children, Education and Early Help](#) accepting the Ombudsman's findings, giving a full public apology and re-stating the Council's commitment to improving SEND services.

Equalities Impact Assessment:

As this is a statutory report in response to the findings of the Local Government and Social Care Ombudsman no equality impact assessment has been prepared.

Budget:

There are immediate budget implications arising from the requirement to make payments totalling £5,600 to the child and his parents. The more significant implications relate to the need to address the wider actions identified by the Ombudsman and to the cost of the ongoing statutory duties upon the council to assess and make provision to meet the needs of children with special educational needs. Those statutory duties are unchanged but the Ombudsman's specific recommendation that the Council:

"Revises its Local Offer to include details of the alternative provision it will arrange for those children that are not in full-time education."

means that there will be a need for a further report to the Cabinet setting out that proposed offer and the cost implications. The proposed offer will need to be considered in the context of the current challenging financial position of the Council, and the impact that it will have on the medium term financial strategy.

Risk Assessment:

Having considered the risks associated with this decision, the level of risk has been identified as:

Current Risk: HIGH

Residual Risk MEDIUM

The Ombudsman has referred not only to maladministration and injustice in this case but also to eight other instances since March 2018 where the former Dorset County Council was found to be at fault over its provision for children with special educational needs. This is why he has referred to systemic issues that need to be addressed and why his recommendations extend beyond addressing the circumstances of this individual child.

Until the Ombudsman's recommendations have been acted upon in full the risk level should continue to be treated as high. The residual risk will only be achieved when the recommendations have been acted upon in full and there is confidence that the Council is meeting its legal obligations to children with special educational needs on an ongoing basis.

Climate implications:

The circumstances of this case illustrate why there are climate change implications to be considered in everything Dorset Council does. It is not possible to meet the complex needs of some children at schools close to home. Appropriate provision can involve specialist placements necessitating a significant need to travel and therefore have an impact.

Other Implications:

The failings identified by the Ombudsman in this and the previous eight instances all took place under the former County Council. There will though be ongoing risk of service failings and damage to the reputation of Dorset Council until the systemic issues identified by the Ombudsman have been addressed.

Recommendation:

That the Cabinet:

1. Note the action taken since receipt of the Local Government and Social Care Ombudsman's report (18 016 599) and accept the Ombudsman's ten recommendations, as set out in paragraphs 3.3 and 3.6, below.
2. Approve the actions identified by the Director of People - Children in response to the Ombudsman's recommendations (paragraphs 3.3 and 3.4 to 3.6, below).
3. Ask the Cabinet member for Children, Education and Early Help and the Executive Director for People – Children to commission a review of the agreed actions arising from the eight previous investigations of Dorset County Council undertaken by the Ombudsman (paragraph 4.5, below)
4. Ask the Cabinet member for Children, Education and Early Help to oversee reporting to the Health and Wellbeing Board on monitoring of the progress made both in addressing the outstanding actions and the review of the eight previous investigations, including a first report to the Board meeting on 30 October 2019.

5. Send a copy of the minutes of this meeting (along with the Monitoring Officer's report) to all Dorset Council councillors as the Cabinet's report of what actions are to be taken to address the findings of the Ombudsman.

Reason for Recommendation:

In order to ensure that there is a robust plan in place to put right the issues identified by the Ombudsman, including wider systemic failings.

Appendices: None

Background Papers:

1. Local Government and Social Care Ombudsman decision summary – "[Dorset County Council leaves boy with special needs without proper education](#)"
2. Dorset Council news release:
<https://news.dorsetforyou.gov.uk/2019/09/04/ombudsman-publishes-report-after-looking-at-send-complaints-in-dorset/>
3. [Full report by the Local Government and Social Care Ombudsman](#) of an Investigation into a complaint against Dorset County Council (now Dorset Council) (reference number: 18 016 599) 15 August 2019
4. [Local Government and Housing Act 1989](#)

Officer Contact:

Name: Jonathan Mair, Corporate Director Legal & Democratic & Monitoring Officer
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Email: jonathan.mair@dorsetcouncil.gov.uk

1. Basis of Report

1.1 This is a statutory report issued by the Monitoring Officer under Section 5A of the Local Government and Housing Act 1989.

1.2 The 1989 Act requires that where an authority is operating executive arrangements the Monitoring Officer must in certain circumstances issue a report to the Executive. Those circumstances are where it appears to the Monitoring Officer that a proposal, decision or omission by or on behalf of the Executive constitutes, has given rise to or is likely to or would give rise to any of the following:

- A contravention of any enactment or rule of law
- **Any such maladministration or failure as is mentioned in Part III of the Local Government Act 1974.**

This report concerns the second circumstance i.e. a finding by the Ombudsman of maladministration resulting in injustice.

1.3 As soon as practicable after consideration of the Section 5A report the Executive is required to prepare a report which specifies:

- What action if any the Executive has taken in response to the report
- What action if any the Executive proposes to take and when and
- The reasons for taking the action specified or for taking no action.

The report of the Executive must as soon as practicable be sent to all councillors and to the Monitoring Officer.

1.4 It is suggested that the most appropriate means of discharging the duty set out in paragraph 1.3 of this report is by sending a copy of this report together with the minutes of the 1 October Cabinet meeting to all members of Council and the Monitoring Officer.

2. Circumstances of the Ombudsman's findings

2.1 A summary of the Ombudsman's decision is set out in the first background paper – ["Dorset County Council leaves boy with special needs without proper education"](#)

2.2 In brief the Ombudsman describes how the former Dorset County Council left a boy with autism without proper education for two years after it failed to provide him with appropriate alternatives when it became clear that a mainstream school was not suitable for him.

2.3 The Ombudsman describes a series of failings by the former County Council including:

- **Failing at several key points to initiate an Education Health and Care needs assessment** with the result that a March 2015 plan from a different local authority remains in place and has not been updated in over four years (despite evidence of significant changes in need and requests from the child's school).
- **Effectively endorsing the child's unofficial exclusions from the School and not informing the parents whether it would amend his plan**, thus depriving them of an opportunity to appeal any decision to the SEND Tribunal.
- **Focusing on the wrong thing** i.e. focusing on securing a placement and alternative provision for the child without carrying out an assessment of what his needs actually were. In response to consultations placement providers stated that they were unable to offer the child a place as they could not meet his needs. However, these consultations had been carried out without the Council really knowing what the child's needs were and a confused position

within the Council as to whether these needs were social, emotional and mental health or Autism Spectrum Disorder.

- **Failing to comply with statutory guidance** - by checking that alternative provision made for the child was safe and had clear objectives focusing on “personal and academic attainment”.
- **Failing to check that the full-time alternative provision it arranged was registered.** In this instance the alternative provision arranged by the Council was not OFSTED registered and it lacked several policies, procedures and risk assessments which a school or educational establishment should have in place.
- **Not proactively monitoring the child’s progress** - no one from the Council’s SEN Team attended the annual review meeting held at the school in January 2018. The school oversaw the meeting as the child was still on roll there, but at this point his education was being directed by the Council and someone from the SEN Team should have attended and taken the lead given the Team’s key role in planning and coordinating the child’s education.
- **Failing to comply with its statutory duty to arrange suitable alternative educational provision** – in this respect the Ombudsman recognised that a lack of suitable alternative provision in the area had impacted on the Council’s ability to secure this provision but the Council had sufficient time to resolve these issues but failed to do so.

3. Recommendations made by the Ombudsman

3.1 The failings identified by the Ombudsman make for uncomfortable reading.

3.2 His description of the life changing injustice suffered by the child is even more uncomfortable:

“The Council’s faults have had a significant impact on C’s education and wellbeing. Its failure to assess his needs at an early stage or follow the annual review process means his EHC plan has not been updated since he was at primary school. After this plan was issued in March 2015 he was diagnosed with ASD and ADHD, made a life-changing move across the country, and faced an unsettled home life. If the Council took these factors into account and followed the correct processes it may have prevented the subsequent deterioration in his behaviour. However, it did not do this and C was eventually “unofficially excluded” from school prior to the start of Year 9. Clearly, this has impacted on his ability to undertake his GCSE exams and affected his future prospects.”

3.3 In order to remedy the injustice to the child and his parents the Ombudsman has made the following five recommendations, below and actions taken to date or proposed are noted below each recommendation):

3.3.1 **Allocates £4,000 of funding to be used to benefit C’s education.** It should consult him and his parents before deciding how this money should be spent. If an

agreement cannot be reached, the money should be put in a trust fund which C can access when he is 18 years old. Importantly, this funding must be over and above that used to provide any ongoing, day-to-day support that C is currently receiving.

Status of action: ongoing:

Action 1 and Action 4 cover the same theme and the Service Manager for SEND is leading on these actions.

£4,000 of funding to be used to benefit C's education and a meeting has taken place to discuss C's education with everyone involved in his case and plan what should be done next. The recommendation that this be done within one month of the start of the new school term has been met. The SEND (Special Educational Needs and Disabilities) Service Manager chaired the meeting and one of the Education Psychologists attended. The agenda covered the following topics recommended by the Ombudsman.

The meeting discussed whether C requires an EHC (Education and Health Care) needs assessment. It was decided he does and this has been initiated to be carried out in accordance with the SEN Code of Practice. The EHC plan will be updated without delay and this is ongoing. As part of the process C's short and long-term academic objectives are under consideration and whether his current provision will enable him to meet those objectives. This is part of the EHC process.

As part of the review of the EHC plan consideration is being given to whether any specialist school or college could offer a more suitable placement and if so whether the Council should use its powers under Sections 96 and 97 of the School Standards and Framework Act 1998 to direct a school or college to admit C.

If an agreement cannot be reached on how best to use the £4,000 the money will be put in a trust fund which C can access when he is 18 years old. This discussion is ongoing in the light of the EHC assessment.

3.3.2 Pays C £1,000 for the distress its actions caused. There should be no restrictions on how C should spend this money.

Status of action: complete.

3.3.3 Pays C's parents £300 each to remedy the injustice they were caused.

Status of action: complete.

3.3.4 Holds a meeting to discuss C's education with everyone involved in his case and plan what it should do next, within one month of the start of the new school term. It should invite C, his parents, his school, a representative from the farm, and any other relevant party who can give an insight into his needs. It should also ensure the SEN Team chairs this meeting and that one of its EPs attends. Its agenda should encompass the following topics: i. Whether C requires an EHC needs

assessment. If it is decided he does, this should be initiated without delay and carried out in accordance with the SEN Code of Practice. Likewise, if the Council decides an assessment is not required but C's parents disagree, it should inform them of their right to appeal its decision to the SEND Tribunal. ii. When it will amend and update C's EHC plan, be it after an EHC needs assessment or without one. In either case, it should ensure it does this without delay. iii. Identify what C's short and long-term academic objectives are and discuss whether his current provision will enable him to meet those objectives. iv. Consider whether any specialist school or college could offer a more suitable placement. If so but is felt a place would not be offered, the Council should consider whether to use its powers under Sections 96 and 97 of the School Standards and Framework Act 1998 to direct a school or college to admit C. v. Discuss how the £4,000 payment should be used and whether additional provision could be obtained in the short-term to help C achieve his academic objectives.

Status of action: ongoing

See action 1, above.

3.3.5 Writes to C and his parents to apologise for the stress and inconvenience it caused, acknowledging the impact of its faults.

Status of action: complete. In addition to providing a written apology there is ongoing contact with the parents regards the child's education and the necessity to update the EHC needs assessment (see response under action 1, above).

3.4 In order to address the wider systemic failings the Ombudsman has made a further five recommendations. The Executive Director for People – Children intends that the Corporate Director for Education and Learning should lead on these actions which direct the future work of the council in improving the work done with children and the outcomes for children with SEND.

3.5 There is a significant change programme running in Children's Services to address both the structure of the area and to review and develop better working practise so that these situations do not arise in future work. The leadership team in Children's Services, being led by the Executive Director for People – Children, are consulting with staff on these developments.

3.6 As part of this change programme the Corporate Director for Education and Learning will ensure that the following five recommendations are completed:

3.6.1 Creates and issues staff guidance about EHC needs assessments. This guidance should refer to the SEN Code of Practice and state the threshold at which the SEN Team should seek to initiate an assessment.

Status of action: ongoing

3.6.2 Develops procedures to help staff when they need to identify and secure alternative provision. These procedures should refer to the relevant statutory guidance about this matter and the Council's Approved Provider Checklist. They should stress the importance of using this Checklist and considering how any provision identified will help the child achieve their academic objectives or outcomes in their EHC plan. Similarly, the procedures should highlight the importance of monitoring the child's progress and give direction about what staff should do when they are struggling to place a child or find them suitable provision.

Status of action: ongoing

3.6.3 Revises its Local Offer to include details of the alternative provision it will arrange for those children that are not in full-time education. It should ensure it provides a range of options so it can meet the various needs and circumstances of those children in its area.

Status of action: ongoing

3.6.4 Delivers a briefing to all staff in the SEN Team once the guidance and procedures mentioned above are complete. This briefing should familiarise staff with the new guidance and procedures, as well as discuss the learning points from this report.

Status of action: ongoing.

3.6.5 Provides complaint handling training to those in the SEN Team that deal with complaints. This training should focus on the need to address the key points raised by a complainant and investigate anything that might have gone wrong. Likewise, it should emphasise the importance of assessing any injustice the complainant was caused and how this might be remedied.

Status of action: ongoing.

4. Further recommendations of the Monitoring Officer

4.1 It is unusual for the Ombudsman to go as far as to issue a formal finding of maladministration and injustice in this way. It is more usual for the Ombudsman to identify any issues and then to work with a council to identify how the Council can address these.

4.2 There is a context in this case of a series of eight earlier investigations where Dorset County Council was found to be at fault over taking too long to issue EHC

plans, failing to arrange alternative provision and taking too long to complete the annual review process and amend the EHC plan.

4.3 The eight earlier investigations resulted in a series of actions and recommendations which are referred to but are not part of the recommendations in the Ombudsman's current report.

4.4 The Ombudsman comments that:

"These cases highlight the Council has failed repeatedly to issue EHC plans in a timely manner or arrange suitable alternative educational provision. This is concerning and indicates there are wider, systemic problems that need to be addressed."

And that:

"It is important to note that Dorset County Council was superseded by Dorset Council in April 2019. In addition, the latter has recently appointed a new Director of Children's Services who acknowledges the systemic problems mentioned above and is working to address them. We welcome these developments and believe they provide the new Council with an ideal opportunity to refine its processes and learn from the mistakes of its predecessor."

4.5 In view of these further comments I recommend that the Cabinet member for Children, Education and Early Help and the Executive Director for People – Children should commission a complete review of the agreed actions arising from the eight previous investigations undertaken by the Ombudsman. The focus of this review should be to ensure that all of the previous actions identified by the Ombudsman and agreed by the predecessor County Council have been completed and the systemic problems addressed. This work should sit alongside that described in paragraph 3.4 onwards and led by the Corporate Director for Education and Learning.

4.6 The review of the agreed actions arising from the eight previous investigations undertaken by the Ombudsman should be reported to the Health and Wellbeing Board at its meeting on 30 October 2019. The reporting of other ongoing work to address the recommendations of the Ombudsman in report 18 016 599 should be built into the work programme of the Board and monitored until signed off as completed.

Jonathan Mair
Corporate Director – Legal and Democratic and Monitoring Officer

September 2019

People Scrutiny Committee – Forward Plan

Subject/Decision	Decision Maker	Decision Due	Consultation	Background Docs	Member/Officer Contacts
Local Government Ombudsmen Improvement Plan on SEND		8 October 2019			<p>Lead Member - Cabinet Member for Children, Education and Early Help</p> <p>Lead Officer – Jonathan Mair, Corporate Director (Legal & Democratic Services Monitoring Officer) / Sarah Parker, Executive Director of People - Children</p>
Adult Safeguarding Annual Report		8 October 2019			<p>Lead Member – Cabinet Member for Adult Social Care and Health</p> <p>Lead Officer - Matthew Kendal - Executive Director of People – Adults.</p>
Forward Plan/Cabinet Forward Plan		8 October 2019			<p>Lead Member – Chairman of People Scrutiny Committee</p> <p>Lead Officer – Lee Ellis, Scrutiny Officer</p>
School Admissions Policy		7 January 2020			<p>Lead Member - Cabinet Member for Children, Education and Early Help</p> <p>Lead Officer - Sarah Parker, Executive Director of People - Children</p>

Feedback on the Children's Safeguarding Annual Report		7 January 2020			Lead Member - Members of the People Scrutiny Committee Lead Officer - Sarah Parker, Executive Director of People - Children
Budget – TBC		7 January 2020			Lead Member – Cabinet Member for Finance, Commercial and Assets Lead Officer - Sarah Parker, Executive Director of People – Children / Matthew Kendall, Executive Director of People – Adults
Ofsted Reports – dependent on Education Co-optees being in place TBC		7 January 2020			Lead Member - Cabinet Member for Children, Education and Early Help Lead Officer - Sarah Parker, Executive Director of People - Children
Designating an area of the Melcombe Regis for Selective Licensing - Housing Act 2004 - TBC		7 January 2020			Lead Member - Cabinet Member for Housing Lead Officer - John Sellgren, Executive Director, Place

Forward Plan/Cabinet Forward Plan		7 January 2020			Lead Member – Chairman of People Scrutiny Committee Lead Officer – Lee Ellis, Scrutiny Officer
Youth Justice Plan Update		21 April 2020			Lead Member - Cabinet Member for Children, Education and Early Help Lead officer - Sarah Parker, Executive Director of People - Children
Forward Plan/Cabinet Forward Plan		21 April 2020			Lead Member – Chairman of People Scrutiny Committee Lead Officer – Lee Ellis, Scrutiny Officer
Planning for Recommissioning Domestic Violence and Abuse advice		September 2020			Lead Member – Cabinet Member for Housing Lead Officer – Diana Balsom Strategic Commissioning Lead

Task and Finish Groups/One Off Reports	
Topic 1 Domestic Abuse Contract	Report to Committee on 10 September 2019. Agreed that for September 2020 People Scrutiny be involved at an earlier stage than previous for the next Planning for Recommissioning of Domestic Violence and Abuse Advice.
Topic 3 Poverty in Weymouth, Portland and Rural Areas	At the last meeting of the Full Council, a motion was raised on social mobility and subsequently, it was agreed that the Notice of Motion be referred to the Economic Development Executive Advisory Panel (EPA) for consideration and report back to Full Council. The Chairman of the People Scrutiny Committee will be requesting that prior to the reporting of the Executive Advisory Panel to Full Council that the People Scrutiny see the response first.
Topic 5 First Time Entrants Into The Justice System	Included in the report on the Youth Justice Plan 2019/20 received by this Committee on 10 September 2019. Monitoring report will be brought to this Committee in April 2020.
Topic 7 Review of the effect of the Homeless Reduction Act 2017 on Housing services and residents of rural Dorset	Pro Forma Previously Circulated
Topic 2 Withdrawal of Youth Clubs Funding	Pro Forma Previously Circulated
Topic 4 Impact of Family Partnership Zones	Pro Forma Previously Circulated
Topic 6 Transition of Young People with SEND into Adult Services	Pro Forma Previously Circulated
Topic 8 Homelessness and Emergency Assistance Fund	Topic raised by Cllr Sutton at the meeting on 10 September 2019. Councillor Sutton was happy with the response provided via email.
Topic 9 SEND (Travel needs/higher needs block deficit/forecasting models/data	Awaiting Pro Forma
Topic 10 Adult Care – Direct Payments	Awaiting Pro Forma

Children's Safeguarding Annual Report	<p>This report is contained in the Cabinet Forward Plan for the 10 December. 2019. Following this meeting of the People Scrutiny Committee, the next scheduled meeting will be held on 7 January 2020.</p> <p>Therefore, we would need three Members to examine the report, prior to Cabinet and those observations would then be forwarded to Cabinet on behalf of the People Scrutiny Committee. The three Members would then need to report their recommendations to the People Scrutiny Committee at its meeting on the 7 January 2020.</p>

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DRAFT - Cabinet Forward Plan - October 2019 (2) **For the period 1 OCTOBER 2019 to 31 JANUARY 2020**

Explanatory Note:

This Forward Plan contains future items to be considered by the Cabinet and Council. It is published 28 days before the next meeting of the Committee. The plan includes items for the meeting including key decisions. Each item shows if it is 'open' to the public or to be considered in a private part of the meeting.

Definition of Key Decisions

Key decisions are defined in Dorset Council's Constitution as decisions of the Cabinet which are likely to -

- (a) to result in the relevant local authority incurring expenditure which is, or the making of savings which are, significant having regard to the relevant local authority's budget for the service or function to which the decision relates (**Thresholds - £500k**); or
- (b) to be significant in terms of its effects on communities living or working in an area comprising two or more wards or electoral divisions in the area of the relevant local authority."

In determining the meaning of "*significant*" for these purposes the Council will have regard to any guidance issued by the Secretary of State in accordance with section 9Q of the Local Government Act 2000 Act. Officers will consult with lead members to determine significance and sensitivity.

Cabinet Portfolio Holders 2019/20

Spencer Flower	Leader / Governance, Performance and Communications
Peter Wharf	Deputy Leader / Corporate Development and Change
Tony Ferrari	Finance, Commercial and Assets
Graham Carr-Jones	Housing
Gary Suttle	Economic Growth and Skills
Andrew Parry	Children, Education and Early Help
Laura Miller	Adult Social Care and Health
David Walsh	Planning
Ray Bryan	Highways, Travel and Environment
Tony Alford	Customer, Community and Regulatory Services

Subject / Decision	Decision Maker	Decision Due Date	Consultation	Background documents	Member / Officer Contact
Calendar of Meetings Key Decision - Yes Public Access - Open	Dorset Council	21 Nov 2019	<u>Consultees:</u> Cabinet – 5 November 2019 <u>Means of Consultation:</u>		Portfolio Holder - Leader of the Council <i>Lead officer - Jonathan Mair, Corporate Director - Legal & Democratic Service Monitoring Officer jonathan.mair@dorsetcouncil.gov.uk</i>
Adoption of Poole Harbour Recreation Supplementary Planning Document (SPD) Key Decision - Yes Public Access - Open	Dorset Council - Cabinet	5 Nov 2019	<u>Consultees:</u> Members of the public and specific consultation bodies (between Monday 4 th February and 4 th March 2019). <u>Means of Consultation:</u> Individual written notifications, draft supplementary planning document and background paper made available for public inspection, press release and publication on the Council's website.	Poole Harbour Recreation Supplementary Planning Document (2019) and Poole Harbour Recreation Background Paper.	Portfolio Holder - Portfolio Holder for Planning <i>Lead officer - Steve Boyt, Senior Planning Policy Officer steve.boyt@dorsetcouncil.gov.uk</i>
Quarter 2 - Budget Update Key Decision - Yes Public Access - Open	Dorset Council - Cabinet	5 Nov 2019	<u>Consultees:</u> <u>Means of Consultation:</u>	None	Portfolio Holder - Portfolio Holder for Finance, Commercial and Assets <i>Lead officer - Aidan Dunn, Executive Director - Corporate Development S151 aidan.dunn@dorsetcouncil.gov.uk</i>

<p>Children's Services - High Needs Block Reduction Strategy</p> <p>Key Decision - Yes Public Access - Open</p>	<p>Dorset Council - Cabinet</p>	<p>5 Nov 2019</p>	<p><u>Consultees:</u></p> <p><u>Means of Consultation:</u></p>	<p>None</p>	<p>Portfolio Holder - Portfolio Holder for Children, Education and Early Help</p> <p><i>Lead officer - Sarah Parker, Executive Director of People - Children sarah.parker@dorsetcouncil.gov.uk</i></p>
<p>Community Safety Annual Report (including Community Safety Plan Substance Misuse Strategy and Reducing Re-offending Strategy)</p> <p>Key Decision - Yes Public Access - Open</p>	<p>Dorset Council - Cabinet Dorset Council</p>	<p>5 Nov 2019 21 Nov 2019</p>	<p><u>Consultees:</u> Place Scrutiny Committee – 24 October 2019</p> <p><u>Means of Consultation:</u></p>	<p>None</p>	<p>Portfolio Holder - Portfolio Holder for Adult Social Care and Health, Portfolio Holder for Housing</p> <p><i>Lead officer - Andy Frost, Community Safety and Drug Action Manager andy.frost@dorsetcouncil.gov.uk, William Haydock, Senior Health Programme Advisor william.haydock@dorsetcouncil.gov.uk</i></p>
<p>Statement of Community Involvement</p> <p>Key Decision - Yes Public Access - Open</p>	<p>Dorset Council - Cabinet</p>	<p>5 Nov 2019</p>	<p><u>Consultees:</u></p> <p><u>Means of Consultation:</u> A draft version of the Statement of Community Involvement was subject to consultation from Friday 21 June to Friday 2 August 2019. A large number of individuals and organisations, including Town and Parish Councils, statutory consultees,</p>	<p>Final Version of the Dorset Council Statement of Community Involvement Draft Version of the Dorset Council Statement of Community Involvement (dated May 2019) Summary of Responses to</p>	<p>Portfolio Holder - Portfolio Holder for Customer, Community and Regulatory Services</p> <p><i>Lead officer - Ed Gerry, Principal Planning Policy Team Leader ed.gerry@dorsetcouncil.gov.uk</i></p>

			developers and agents, were notified about the consultation.	Consultation on Draft Version of Dorset Council Statement of Community Involvement	
<p>Dorset Council's policy position on the review of designated landscapes, as part of the Glover Review</p> <p>Key Decision - Yes Public Access - Open</p>	Dorset Council - Cabinet	5 Nov 2019	<p><u>Consultees:</u> Internal consultation for all impacted services, DC services, AONB, Coast and Countryside, Economic Development, Housing and Planning Policy.</p> <p><u>Means of Consultation:</u> Dedicated meetings with each service lead to gather their views.</p>	Accompanying analytical report and policy paper	<p>Portfolio Holder - Portfolio Holder for Planning</p> <p><i>Lead officer - Elizabeth Crocker Elizabeth.Crocker1@dorsetcc.gov.uk</i></p>
<p>Comerley Household Recycling Centre - Hampshire County Council charges for Dorset residents</p> <p>Key Decision - Yes Public Access - Part exempt</p>	Dorset Council - Cabinet	5 Nov 2019	<p><u>Consultees:</u> East Dorset councillors; DWP Joint Committee; Local residents in East Dorset</p> <p><u>Means of Consultation:</u> Meeting with Hampshire County Council (HCC) 19/12/18; Meetings with East Dorset councillors; Public survey 14/02-14/03/19</p>	Report to HCC; HWRC Cross Border Charging Update 29/10/18; Letter from Cllr Humby (HCC) 16/10/18	<p>Portfolio Holder - Portfolio Holder for Customer, Community and Regulatory Services</p> <p><i>Lead officer - John Sellgren, Executive Director, Place jsellgren@dorset.gov.uk</i></p>
<p>Procurements over £5 million in respect of: Electricity and Gas</p> <p>Key Decision - Yes Public Access - Part exempt</p>	Dorset Council - Cabinet	5 Nov 2019	<p><u>Consultees:</u> Service and programme lead(s)</p> <p><u>Means of Consultation:</u> Directorate and organisation lead(s)</p>	Procurement over £5m Report: Electricity and Gas Procurement	<p>Portfolio Holder - Portfolio Holder for Finance, Commercial and Assets</p> <p><i>Lead officer - Dawn Adams, Head of Procurement (Interim) dawn.adams@dorsetcouncil</i></p>

					<i>l.gov.uk</i>
Dorset Council's Transformation Plan Key Decision - Yes Public Access - Open	Dorset Council - Cabinet	5 Nov 2019	<u>Consultees:</u> <u>Means of Consultation:</u>		Portfolio Holder - Deputy Leader - Corporate Development and Change <i>Lead officer - Sarah Longdon, Head of Transformation Team</i>
Lyme Regis Harbour - Harbour Revision Order Key Decision - Yes Public Access - Open	Dorset Council - Cabinet Dorset Council	5 Nov 2019 21 Nov 2019	<u>Consultees:</u> <u>Means of Consultation:</u>		Portfolio Holder - Portfolio Holder for Highways, Travel and Environment <i>Lead officer - Ken Buchan, Head of Environment and Wellbeing ken.buchan@dorsetcouncil.gov.uk</i>
Children's Safeguarding Annual Report Key Decision - Yes Public Access - Open	Dorset Council - Cabinet	10 Dec 2019	<u>Consultees:</u> <u>Means of Consultation:</u> People Scrutiny Committee – 8 October 2019	None	Portfolio Holder - Portfolio Holder for Children, Education and Early Help <i>Lead officer - Karen Elliott, Designated Safeguarding Manager</i>
Equalities Policy Key Decision - No Public Access - Open	Dorset Council - Cabinet	10 Dec 2019	<u>Consultees:</u> Resources Scrutiny Committee – 26 November 2019 <u>Means of Consultation:</u>	None	Portfolio Holder - Deputy Leader - Corporate Development and Change <i>Lead officer - Bridget Downton, Head of Business Insight and Corporate Communications</i>
Budget (MTFP/Council tax/Capital	Dorset Council -	10 Dec 2019	<u>Consultees:</u>		Portfolio Holder - Portfolio

<p>Programme/Treasury Mgt Strategy)</p> <p>Key Decision - Yes Public Access - Open</p>	<p>Cabinet</p> <p>Dorset Council - Cabinet</p> <p>Dorset Council</p>	<p>28 Jan 2020</p> <p>13 Feb 2020</p>	<p><u>Means of Consultation:</u></p>		<p>Holder for Finance, Commercial and Assets</p> <p><i>Lead officer - Aidan Dunn, Executive Director - Corporate Development S151</i> <i>aidan.dunn@dorsetcouncil.gov.uk</i></p>
<p>Major Waste Disposal Contracts following competitive tender process</p> <p>Key Decision - Yes Public Access - Fully exempt</p> <p>Page 74</p>	<p>Dorset Council - Cabinet</p>	<p>10 Dec 2019</p>	<p><u>Consultees:</u> Dorset Waste Partnership Joint Committee Dorset Council Procure to Pay Dorset Council Internal & External Legal Advice</p> <p><u>Means of Consultation:</u> Joint Committee report in January 2018 and regular updates with the Chairman of the Dorset Waste Partnership Joint Committee. Legal and Procurement support throughout drafting of documents.</p>	<p>Dorset Waste Partnership Joint Committee minutes - 15 January 2018 (minutes 10 and 11)</p>	<p>Portfolio Holder - Portfolio Holder for Customer, Community and Regulatory Services</p> <p><i>Lead officer - Karyn Punchard, Corporate Director of Place Services</i> <i>karyn.punchard@dorsetcouncil.gov.uk</i></p>
<p>Dorset Joint Health and Wellbeing Strategy</p> <p>Key Decision - Yes Public Access - Open</p>	<p>Dorset Council - Cabinet</p>	<p>10 Dec 2019</p>	<p><u>Consultees:</u></p> <p><u>Means of Consultation:</u></p>	<p>None</p>	<p>Portfolio Holder - Portfolio Holder for Adult Social Care and Health</p> <p><i>Lead officer - Sam Crowe, Acting Director of Public Health</i> <i>s.crowe@dorsetcc.gov.uk</i></p>
<p>Endorsement of the Dorset Local Industrial Strategy</p>	<p>Dorset Council - Cabinet</p>	<p>10 Dec 2019</p>	<p><u>Consultees:</u> Dorset Local Enterprise Partnership, BCP, extensive</p>	<p>Draft Dorset Local Industrial Strategy</p>	<p>Portfolio Holder - Portfolio Holder for Economic Growth and Skills</p>

<p>Key Decision - Yes Public Access - Open</p>			<p>business engagement</p> <p><u>Means of Consultation:</u> Meetings, surveys, interviews.</p>		<p><i>Lead officer - David Walsh, Service Manager for Growth and Economic Regeneration David.walsh@dorsetcouncil .gov.uk</i></p>
<p>Unreasonable Complaints Policy</p> <p>Key Decision - No Public Access - Open</p>	Dorset Council - Cabinet	28 Jan 2020	<p><u>Consultees:</u></p> <p><u>Means of Consultation:</u></p>	None	<p>Portfolio Holder - Portfolio Holder for Customer, Community and Regulatory Services</p> <p><i>Lead officer - Jonathan Mair, Corporate Director - Legal & Democratic Service Monitoring Officer jonathan.mair@dorsetcouncil.gov.uk</i></p>
<p>Capital funding option for the West Bay Coastal Improvements Project</p> <p>Key Decision - Yes Public Access - Part exempt</p>	Dorset Council - Cabinet	28 Jan 2020	<p><u>Consultees:</u> Relevant Portfolio Holders and Local Members</p> <p><u>Means of Consultation:</u> Email</p>	West Bay Coastal Improvements Project Brief Lease of the Campfield Site at West Bay Current adopted Local Plan Independent Valuation Report (awaiting receipt)	<p>Portfolio Holder - Councillor Tony Ferrari, Councillor Ray Bryan</p> <p><i>Lead officer - Greg Northcote, Estates Manager greg.northcote@dorsetcouncil.gov.uk</i></p>
<p>Designating an area of the Melcombe Regis for Selective Licensing - Housing Act 2004</p> <p>Key Decision - Yes Public Access - Open</p>	Dorset Council - Cabinet	28 Jan 2020	<p><u>Consultees:</u> Public consultation period concluded April 2019 Key partner agencies from the Melcombe Regis Board involved in the proposal</p>	Previous reports to W&PBC Previous reports to MR Board Consultation documents and Reports	<p>Portfolio Holder - Portfolio Holder for Housing</p> <p><i>Lead officer - Rebecca Kirk, Corporate Director of Housing, Dorset Council Rebecca.Kirk@dorsetcouncil.gov.uk</i></p>

			<u>Means of Consultation:</u> Report to People Scrutiny Committee On-line public Consultation Meetings / Discussions	Various technical papers	<i>il.gov.uk</i>
Pay Policy Statement Key Decision - Yes Public Access - Part exempt	Dorset Council	13 Feb 2020	<u>Consultees:</u> Resources Scrutiny Committee – March 2020 <u>Means of Consultation:</u>	None	Portfolio Holder - Deputy Leader - Corporate Development and Change <i>Lead officer - Aidan Dunn, Executive Director - Corporate Development S151 aidan.dunn@dorsetcouncil.gov.uk</i>
Endorsement of the Dorset & East Devon Coast World Heritage Site Partnership Plan Key Decision - Yes Public Access - Open	Dorset Council - Cabinet	3 Mar 2020	<u>Consultees:</u> Multiple partner organisations with responsibility for management of the coast <u>Means of Consultation:</u> Stakeholder engagement and formal consultation on the draft plan	Dorset & East Devon Coast World Heritage Site Partnership Plan	Portfolio Holder - Portfolio Holder for Highways, Travel and Environment <i>Lead officer - Ken Buchan, Head of Environment and Wellbeing ken.buchan@dorsetcouncil.gov.uk</i>
Asset Management Strategy for Dorset Council 2020 - 2023 Key Decision - Yes Public Access - Open	Dorset Council - Cabinet	3 Mar 2020	<u>Consultees:</u> Place Board, Senior Leadership Team, Portfolio Holder and members. <u>Means of Consultation:</u> Meetings – groups and 1-2-1 member workshops.	Dorset Council draft Corporate Plan Dorset Councils Economic Strategy Former Dorset County Councils - Asset Management Strategy	Portfolio Holder - Portfolio Holder for Finance, Commercial and Assets <i>Lead officer - John Sellgren, Executive Director, Place jsellgren@dorset.gov.uk</i>
School Admissions Policy	Dorset Council -	3 Mar 2020	<u>Consultees:</u>	None	Portfolio Holder - Portfolio

<p>Key Decision - Yes Public Access - Open</p>	Cabinet		<p>People Scrutiny Committee – 7 January 2019</p> <p><u>Means of Consultation:</u></p>		<p>Holder for Children, Education and Early Help</p> <p><i>Lead officer - Sarah Parker, Executive Director of People - Children sarah.parker@dorsetcouncil.gov.uk</i></p>
<p>Housing Allocations Policy</p> <p>Key Decision - Yes Public Access - Open</p>	Dorset Council - Cabinet	7 Apr 2020	<p><u>Consultees:</u></p> <p><u>Means of Consultation:</u></p>	None	<p>Portfolio Holder - Portfolio Holder for Housing</p> <p><i>Lead officer - Rebecca Kirk, Corporate Director of Housing, Dorset Council Rebecca.Kirk@dorsetcouncil.gov.uk</i></p>
<p>Public Consultation on the proposed dog-related Public Spaces Protection Order</p> <p>Key Decision - Yes Public Access - Open</p>	Dorset Council - Cabinet	7 Apr 2020	<p><u>Consultees:</u> Portfolio Holder Head of Service Legal Services</p> <p><u>Means of Consultation:</u></p>		<p>Portfolio Holder - Portfolio Holder for Customer, Community and Regulatory Services</p> <p><i>Lead officer - Graham Duggan, Head of Community & Public Protection graham.duggan@dorsetcouncil.gov.uk</i></p>
<p>Asset Management Plan for Dorset Council 2020- 2023</p> <p>Key Decision - Yes Public Access - Open</p>	Dorset Council - Cabinet	5 May 2020	<p><u>Consultees:</u> Place Board, Senior Leadership Team, Portfolio Holder and members.</p> <p><u>Means of Consultation:</u> Meetings – group and 1-2-1 members workshop.</p>	<p>Dorset Council Asset Management Strategy Dorset Council Corporate Plan Dorset Councils Economic Growth Strategy Former Dorset</p>	<p>Portfolio Holder - Portfolio Holder for Finance, Commercial and Assets</p> <p><i>Lead officer - John Sellgren, Executive Director, Place jsellgren@dorset.gov.uk</i></p>

				County Councils Asset management Strategy	
Constitution Review Key Decision - Yes Public Access - Open	Dorset Council - Cabinet	5 May 2020	<u>Consultees:</u> Members Officers Service areas <u>Means of Consultation:</u> Meetings Consultation Correspondence	Dorset Council Constitution (approved in February 2019)	Portfolio Holder - Leader of the Council <i>Lead officer - Jonathan Mair, Corporate Director - Legal & Democratic Service Monitoring Officer jonathan.mair@dorsetcounc il.gov.uk</i>
Area Neighbourhood Plan - Independent Examiners Report and progress to Referendum Key Decision - Yes Public Access - Open	Dorset Council - Cabinet		<u>Consultees:</u> <u>Means of Consultation:</u>	None	Portfolio Holder - Portfolio Holder for Planning <i>Lead officer - John Sellgren, Executive Director, Place jsellgren@dorset.gov.uk</i>
Car Parking Charges and Tariffs Key Decision - Yes Public Access - Open	Dorset Council - Cabinet		<u>Consultees:</u> Parking Managers Budget Working Group (December 2018) <u>Means of Consultation:</u> Meeting	None	Portfolio Holder - Portfolio Holder for Highways, Travel and Environment <i>Lead officer - John Sellgren, Executive Director, Place jsellgren@dorset.gov.uk</i>
Making of Arne Neighbourhood Plan Key Decision - Yes Public Access - Open	Dorset Council - Cabinet		<u>Consultees:</u> <u>Means of Consultation:</u>	None	Portfolio Holder - Portfolio Holder for Housing <i>Lead officer - John Sellgren, Executive Director, Place jsellgren@dorset.gov.uk</i>
Wool Neighbourhood Plan -	Dorset Council -		<u>Consultees:</u>	None	Portfolio Holder - Portfolio

<p>Independent Examiner report and progress to Referendum</p> <p>Key Decision - Yes Public Access - Open</p>	<p>Cabinet</p>		<p><u>Means of Consultation:</u></p>		<p>Holder for Planning</p> <p><i>Lead officer - John Sellgren, Executive Director, Place jsellgren@dorset.gov.uk</i></p>
<p>Making of Wool Neighbourhood Plan</p> <p>Key Decision - Yes Public Access - Open</p>	<p>Dorset Council - Cabinet</p>		<p><u>Consultees:</u></p> <p><u>Means of Consultation:</u></p>	<p>None</p>	<p>Portfolio Holder - Portfolio Holder for Planning</p> <p><i>Lead officer - John Sellgren, Executive Director, Place jsellgren@dorset.gov.uk</i></p>

Private/Exempt Items for Decision

Each item in the plan above marked as 'private' will refer to one of the following paragraphs.

1. Information relating to any individual.
2. Information which is likely to reveal the identity of an individual.
3. Information relating to the financial or business affairs of any particular person (including the authority holding that information).
4. Information relating to any consultations or negotiations, or contemplated consultations or negotiations, in connection with any labour relations matter arising between the authority or a Minister of the Crown and employees of, or office holders under, the authority.
5. Information in respect of which a claim to legal professional privilege could be maintained in legal proceedings.
6. Information which reveals that the shadow council proposes:-
 - (a) to give under any enactment a notice under or by virtue of which requirements are imposed on a person; or
 - (b) to make an order or direction under any enactment.
7. Information relating to any action taken or to be taken in connection with the prevention, investigation or prosecution of crime.